



**Ministry of Urban Development
Government of India**

Request for Expression of Interest

for

**Project Management Unit (PMU) for Atal Mission for
Rejuvenation and Urban Transformation (AMRUT) mission**

July, 2016

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1 INTRODUCTION

1.1 Background

1.1.1 To extend the urban infrastructure development support to the ULBs Atal Mission for Rejuvenation and Urban Transformation (AMRUT) mission was launched on 25th June 2015 by the Ministry of Urban Development, Government of India (MoUD). The Mission is being rolled out in 500 Cities geographically spread across the Country. MoUD is seeking services of a specialist Project Management Unit (PMU) at central level, comprising of sector specialists to support the roll out, plan, oversee, monitor and build capacities of Mission.

1.2 AMRUT Mission : Objectives

- 1.2.1 The purpose of Atal Mission for Rejuvenation and Urban Transformation (AMRUT)
- a) To ensure that every household has access to a tap with assured supply of water and a sewerage connection;
 - b) Increase the amenity value of cities by developing greenery and well maintained open spaces (e.g. parks); and
 - c) Reduce pollution by switching to public transport or constructing facilities for non-motorized transport (e.g. walking and cycling). All these outcomes are valued by citizens, particularly women, and indicators and standards have been prescribed by the Ministry of Urban Development (MoUD) in the form of Service Level Benchmarks (SLBs).

1.3 AMRUT Mission ; Mission Strategy

1.3.1 The bedrock of the AMRUT Mission is development of core infrastructure with the objective of the achieving improvement in delivery of services and implementation of reforms. The ULBs should move step-by-step to improve their Service Level Benchmarks (SLBs), The approach is that while focusing on investments in urban infrastructure, we should aim to make ULBs more accountable, increase available resources, improve service delivery and empower them and citizens through reforms.

1.4 AMRUT Mission : Mission Components

- 1.4.1 The Mission will have the following focus areas:
- (a) Water supply,

- (a) Sewerage facilities and septage management,
- (b) Storm water drains to reduce flooding,
- (c) Pedestrian, non-motorized and public transport facilities, parking spaces, and
- (d) Enhancing amenity value of cities by creating and upgrading green spaces, parks and recreation centres, especially for children.

1.5 Setting up PMU

1.5.1 The AMRUT Mission program will be implemented through a Mission Directorate at National Level. The Mission Directorate is envisaged to be supported by a Program Management Unit (PMU) comprising of subject matter experts and support staff. The prime objective of PMU is to provide requisite technical, administrative and managerial and other support to the Mission Director (AMRUT), MoUD for effective planning, implementing, monitoring and evaluation of activities under the Mission and technical advice to States/ULBs so that the project objectives are accomplished, projects are executed and capacities are institutionalized. The PMU shall assist the Mission Directorate to finalize overall implementation framework and roll-out of AMRUT Mission at State and City level.

1.5.2 The PMU will work under the administrative control of National Mission Director. The PMU team leader will report to the designated Mission Director in MoUD. The services of PMU are envisaged for a period of four years. The agencies selected will be evaluated against suitable criteria, including, but not limited to:

- (e) Qualifications of personnel
- (f) Proven skills in the fields of proposed engagement
- (g) Prior experience of working on relevant projects
- (h) Prior experience in program management

1.5.3 It is further expected that the PMU professionals will have minimum of following credentials:

- (a) Relevant general management and technical qualifications and experience;
- (b) Have a demonstrated ability to work closely and effectively with the management and administration in government set up and on projects of similar nature; and
- (c) Thorough understanding of the systems, procedures for the implementation of projects in Governments.

1.6 Terms of Reference

- 1.6.1 The consulting services (“the Services”) include the following Terms of Reference.
- 1.6.2 Terms of Reference (ToR) of Project Management Unit (PMU) for AMRUT Mission. The scope of PMU is as below:
- 1.6.3 The PMU will provide technical assistance to the Mission Directorate for the effective implementation, monitoring and evaluation of mission activities and technical advice to States/ULBs so that the project objectives are accomplished. The support to Mission Directorate by the proposed PMU will include, but not limited to the following:
- (a) Providing Technical support in the field of Project Management, Finance, Institutional Development, Capacity Building, reforms achievement and Infrastructure development like water supply, sewerage, drainage, procurement processes, green space development, urban transportation (Specially Non-Motorized transport), Environment and Social Development;
 - (b) Preparation of a road map for roll-out of AMRUT Mission at State and City Level;
 - (c) Identification of suitable new technologies for improving urban infrastructure, economizing investment and for sustainable development of urban areas;
 - (d) Regular monitoring of implementation of the mission and to produce status report;
 - (e) Identification of bottlenecks in implementation of projects and suggesting remedial actions
 - (f) Carry out documentation in different sectors under AMRUT funding projects in respect of assessment and dissemination of information. The research documentation will be published for capacity building purpose;
 - (g) Assist in developing effective financing strategies to develop bankable projects and identification of project specific source of funding;
 - (h) Assist in developing primers/guidance documents/toolkit for AMRUT;
 - (i) Assist in review of project proposals for state and city plans and potential public – private partnerships and bring in learning from best models of such partnerships
 - (j) Assist in developing dovetailing partnerships with other schemes in the MouD like HRIDAY, SMART City Mission and Swachh Bharat Mission to develop Synergistic plans.

- (k) Develop options to leverage new and innovative technologies to develop tools, methods and on line platform to facilitate sharing of knowledge between government and other stake holders.
- (l) Assist in Development and maintenance of portal and related management information system for project execution, Monitoring and exchange of information among relevant Stake Holders and for information dissemination.
- (m) Develop necessary documentation for guidance to the State for identification of the need for individual and institutional capacity building plans, assist in formulation of their capacity building plan to develop their capacities to achieve Mission bench marks.
- (n) Assist the Mission Director in examining the Annual Action Plans, capacity Building Plans and other reports submitted by the States/UTs in accordance with guidelines.
- (o) Develop a national level result framework (including a core set of dashboard indicators) and develop guidelines for States and cities to customize this framework for their use.
- (p) Develop guidelines, guiding material for reforms achievement. Assist ULBs/States to develop and implement the reforms implementation strategies.

1.7 Availability of REOI Document

The document can be downloaded from the following website www.moud.gov.in , amrut.gov.in and www.eprocure.gov.in.

1.8 Validity of Applications

The Application shall be valid for a period of not less than 120 (one hundred and twenty) days from the Application Due Date hereinafter called “**Application Validity Period**”. MoUD reserves the right to reject any Application, which does not meet this requirement.

1.9 Scope and Description of Shortlisting Process

- a) MoUD proposes to adopt a one stage process to evaluate Applications received based on criteria set out in this REOI Document. Based on this evaluation undertaken, a short-list of Applicants will be prepared. The RfP shall be issued to the Shortlisted Applicants.

- b) The MoUD will invite Technical and Financial proposals from selected shortlisted consultancy firms (Applicants) following the Request for Proposal (RfP) in the later stage.

1.10 Schedule of Shortlisting Process

MoUD would endeavour to adhere to the following schedule:

Sr. No.	Event Description	Indicative Dates
1.	Date of Issue of REOI	22 July 2016 at 1500
2.	Last Date for receiving queries	29 July 2016, 1300 hrs
3.	Pre Application Conference	29 July 2016, 1500 hrs at Room no 123C Nirman Bhawan
4.	Replies of the queries uploaded on the website	On or before 05 Aug 2016
5.	Last Date of Submission of EOI (online only)	12 August 2016 till 1500
6.	Date of opening of Applications online	12 August 2016 at 1530

1.11 Communications

All communications excluding the submission of Application shall be addressed to Email: to rajendra.prataps@nic.in.

2 Instructions to Applicants

A. General

2.1 Scope of Application

- a) MoUD wishes to receive Applications from eligible entities for the Project in order to shortlist consultants.
- b) The Applicant shall submit its Application in the form and manner specified in this REOI. The qualified Consultants shall be shortlisted. The RFP will be issued to the shortlisted consultants. The successful bidder emerging from such selection process shall be required to enter into a contract with MoUD and the payment for the assignment shall be done by MoUD.

2.2 Eligible Applicants

- a) The Applicant eligible for participating in the qualification process shall be any one of the following two categories:
 - a. Category 1: Single Business Entity
 - b. Category 2: A consortium of Business Entities (hereinafter referred to as "Consortium")

The term Applicant would hereinafter apply to both the above-mentioned categories.

- b) **For the purpose of this REOI, a Business Entity shall mean an entity incorporated under the Indian Companies Act 1956/2013 or incorporated under equivalent law abroad or the Consultant should be a firm/LLP and should submit registration /incorporation under the governing legislation. The Consultant shall be required to submit a true copy of its Incorporation Certificate along with the Proposal.**
- c) A Consortium of a maximum of **three (3) members** of above such entities comprising one Lead Member with two other members shall be allowed and shall hereinafter be referred as "Consortium".
- d) The Applicant should submit a Power of Attorney as per the format enclosed at **Appendix 2**, authorising the signatory of the Application to commit the Applicant.
- e) Applications submitted by a Consortium should comply with the following additional requirements:
 - a. The number of members in the Consortium would be limited to three (3);

- b. The Application should contain the information required from each member;
- c. The Application should include a description of the roles and responsibilities of both the members;
- d. Members of the Consortium shall nominate one member as the Lead Member and that member must be an entity as defined in clause 2.2.a) a;
- e. An Applicant who has applied for empanelment in its individual capacity or as part of a Consortium cannot participate as a member of any other Consortium applying for the empanelment;
- f. The members of the Consortium shall execute a Power of Attorney for Lead Member of Consortium as per the format enclosed at **Appendix 3**; and
- g. The members of the Consortium shall enter into a Memorandum of Understanding (MoU), as per the format provided under **Appendix 8** for the purpose of submission of the Application. The MoU should, inter alia,
 - i. Clearly outline the proposed roles and responsibilities of each member of the Consortium; and
 - ii. Include a statement to the effect that all members of the Consortium shall be liable jointly and severally for the assignments arising out of the States, Union Territories and million plus cities, as the case may be and in accordance with the terms of the contract agreement therefore;

A copy of the MoU signed by all members should be submitted with the Application. The MoU entered into between the members of the Consortium should contain the above requirements, failing which the Application shall be considered non-responsive.

- f) An Applicant or member of Consortium which has earlier been barred by MoUD or blacklisted by any State /UT government or Central government / department / agency in India from participating in Bidding Process shall not be eligible to submit an Application, either individually or as member of a Consortium, if such bar subsists as on the Application Due Date. The Applicant or the member of Consortium shall be required to furnish an affidavit that there is no such bar imposed and existing as on date as per format provided in **Appendix 7**. In case the Applicant or member of Consortium gets blacklisted/banned by MoUD or any State /UT Government or Central government / department / agency during the Empanelment Period such Applicant shall cease to be empanelled with MoUD.

- g) A firm declared ineligible by multilateral agencies or Government of India or State Governments and debarred from providing consultancy services in India shall be ineligible to submit an Application. In case the Applicant or any member of Consortium is declared ineligible by multilateral agencies or Government of India or State Governments during the Shortlisting Period then such Applicant shall cease to be shortlisted with MoUD.
- h) An Applicant or member of Consortium should have, during the last three years, neither failed to perform on any agreement, as evidenced by imposition of a penalty or a judicial pronouncement or arbitration award against the Applicant or member of Consortium, nor been expelled from any project or agreement nor have had any agreement terminated for breach by such Applicant or member of Consortium. In case the Applicant or member of Consortium during period of Empanelment, fails to perform on any agreement, as evidenced by imposition of a penalty or a judicial pronouncement or arbitration award against the Applicant or member of Consortium, or been expelled from any project or agreement or have had any agreement terminated for breach by such Applicant or member of Consortium, such Applicant shall cease to be empanelled with MoUD.
- i) While submitting an Application, the Applicant should attach clearly marked and referenced continuation sheets in the event that the space provided in the specified forms in the Appendices is insufficient. Alternatively, Applicants may format the specified forms making due provision for incorporation of the requested information.

2.3 Change in Consortium Composition

No change in the composition of a Consortium during the term of shortlisting shall be permitted.

2.4 Number of Applications

Each Applicant shall submit only one (1) Application for the Project. Any Applicant, who submits or participates in more than one Application for the empanelment will be disqualified and will also cause the disqualification of the Consortium(s) of which it is a member.

2.5 Application Preparation Cost

The Applicant shall be responsible and shall bear all costs and expenses associated with the preparation of its Application and its participation in the empanelment. It is clarified that MoUD shall be not responsible or in any way liable for such costs, expenses regardless of the conduct or outcome of the empanelment.

2.6 Acknowledgement by the Applicant

- a) It is desirable that each Applicant submits its Application after collection of required information and analysis or any other matter considered relevant by it.
- b) It would be deemed that by submitting the Application, the Applicant has:
 - a. Made a complete and careful examination of the REOI Document; and
 - b. Received all relevant information requested from MoUD.
- c) MoUD shall not be liable for any mistake or error on the part of the Applicant in respect of the above.

2.7 Right to Accept or Reject any of the Applications

- a) Notwithstanding anything contained in this REOI Document, MoUD reserves the right to accept or reject any Application or to annul the Shortlisting Process or reject all Applications at any time, without assigning any reasons thereof and without any liability or any obligation, of any nature whatsoever, for such rejection or annulment.
- b) MoUD reserves the right to reject any Application if:
 - a. At any time, a material misrepresentation has been made or discovered; or
 - b. The Applicant does not respond promptly and diligently to requests for additional information or clarification required for evaluation of the Application.
- c) Rejection of the Application by MoUD, as aforesaid, would lead to the disqualification of the Applicant. If the Applicant is a part of Consortium, then the entire Consortium would be disqualified.

2.8 Amendment of REOI Document

- a) At any time prior to the Application Due Date, MoUD may, for any reason, whether at its own initiative or in response to clarifications requested by an Applicant, modify the REOI Document by the issuance of Addenda posted on the website: www.moud.gov.in and www.eprocure.gov.in
- b) In order to provide the Applicants a reasonable time to examine the Addendum, or for any other reason, MoUD may, at its own discretion, extend the Application Due Date.

2.9 Clarifications

An Applicant requiring any clarification on the REOI Document may request MoUD online through mail at Email: rajendra.prataps@nic.in. The Applicants may send their

queries latest by 14 days before the bid submission due date. MoUD would endeavour to respond to the queries 7 days before the bid submission due date. The responses will be uploaded on the website: www.moud.gov.in, amrut.gov.in and www.eprocure.gov.in.

B. Preparation and Submission of Application

2.10 Language

The Application and all related correspondence and documents should be furnished by the Applicant with the Application may be in any other language provided that these are accompanied by appropriate translations of the pertinent passages in the English language by approved/authorized/licensed translator¹. Supporting material, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.

2.11 Submission of Application

- a) The Applicant would provide all the information in terms of this REOI Document. Only those Applications shall be evaluated that are received in the required format and complete in all respects. **The Application shall be submitted in maximum 100 pages.**
- b) The Applicant shall submit digitally signed and complete Proposal comprising the documents and forms in accordance with Clause 2.12. The submission shall be done electronically only:
 - (i) Online submission : The application shall be uploaded on the web portal www.eprocure.gov.in duly digitally signed. No physical submission of applications shall be accepted.

2.12 Sealing and Marking of Applications

- a) An authorized representative of the Applicant shall sign the submission letters in the required format. The authorization shall be in the form of a written power of attorney and scanned copy will be uploaded together with the Application as per Appendix 2.
- b) The Application shall contain the following (check-list):

a.	Letter of Application	Refer Appendix 1
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¹ Approved/authorized/licensed translator means certified by Government for document translation. The registration/certification number of the translator is mandatory to mention on the translated document along with full address, Phone number and mail-id.

b.	Power of Attorney for signing of the Application (in case of Consortium, this would need to be provided by all the member)	Refer Appendix 2
c.	In case of Consortium, Power of Attorney for signing of Application by the Lead Member	Refer Appendix 3
d.	Details of Applicant (in case of Consortium, this would need to be provided by all the members)	Refer Appendix 4
e.	Financial Capability of the Applicant (in case of Consortium, Financial Capability of Lead Member)	Refer Appendix 5 and Appendix 5A
f.	Past Experience of Applicant (in case of Consortium, experience of all the members) and CVs of key Personnel.	Refer Appendix 6, Appendix 6A-D
g.	Format for CVs of Key personnel	Refer Appendix 7
h.	Affidavit certifying that Business Entity / Director(s) of Business Entity are not blacklisted / barred	Refer Appendix 8
i.	In case of Consortium, Memorandum of Understanding as per the format attached in	Refer Appendix 9
j.	Proof of registration of the Applicant (in case of Consortium, proof of registration of all the Members)	

2.13 Application Due Date

- a) The Application or its modifications must be uploaded on the portal no later than the deadline mentioned in the Schedule of Shortlisting Process (refer Clause 1.7), or any extension to this deadline. The electronic system will not accept any Proposal or its modification for uploading after the deadline. Applications submitted by either facsimile transmission or telex shall not be accepted.
- b) MoUD may, at its sole discretion, extend the Application Due Date by issuing an Addendum in accordance with Clause 2.8 (a), uniformly for all Applicants.

2.14 Late Applications

The electronic system will not accept any Application or its modification for uploading after the deadline.

C. Evaluation Process

2.15 Opening of Applications

The MoUD evaluation committee shall conduct the opening of the Applications on the date and time indicated in clause 1.10.

2.16 Evaluation of Applications

- a) The Applications received, would subsequently be examined and evaluated in accordance with the criteria set out in Section 3.
- b) MoUD reserves the right to utilise the services of consultant/s or advisor/s, to assist in the examination, evaluation, and comparison of Applications.
- c) MoUD reserves the right to reject any Application, if:
 - a. At any time, a material misrepresentation is made or discovered; or
 - b. The Applicant does not respond promptly and diligently to requests for supplemental information required for the evaluation of the Application.

2.17 Confidentiality

- a) Information relating to the examination, clarification, evaluation, and recommendation for the short-listed Applicants shall not be disclosed to any person not officially concerned with the Shortlisting Process. MoUD will treat all information submitted as part of Application in confidence and would require all those who have access to such material to treat the same in confidence. At the conclusion of the evaluation process, MoUD shall publish the list of Applicants who have been Shortlisted.
- b) MoUD shall not divulge any such information unless it is ordered to do so by any authority pursuant to applicable law or order of a competent court or tribunal, which requires its disclosure.

2.18 Tests of Responsiveness

- a) Prior to evaluation of Applications, it shall be determined whether each Application is responsive to the requirements of the REOI Document. An Application shall be considered non responsive if the Application:
 - a. is not received online as indicated at 2.11;
 - b. is not digitally signed with the submission letters as stipulated in Clause 2.12 (a);
 - c. does not contains all the information and documents as set out in Clause 2.12 (b) and in the formats set out in this REOI Document; and
 - d. does not mentions the Application Validity Period as set out in Clause 1.8.
- b) MoUD reserves the right to reject any Application which is non-responsive and no request for alteration, modification, substitution or withdrawal shall be entertained by MoUD in respect of such Applications.

2.19 Clarifications to Facilitate Evaluation

- a) To facilitate evaluation of Applications, MoUD, at its sole discretion, seek clarifications in writing from any Applicant regarding its Application. Such clarifications shall be provided within the time specified by MoUD, as the case may be, for this purpose. Any request for clarifications and all clarifications shall be in writing.
- b) If an Applicant does not provide clarifications sought under Sub-Clause 2.19 (a) above within the prescribed time, its Application shall be liable to be rejected. In case the Application is not rejected, MoUD may proceed to evaluate the Application by construing the particulars requiring clarification to the best of its understanding, and the Applicant shall be barred from subsequently questioning such interpretation.

2.20 Conflict of Interest

- a) MoUD requires that the Shortlisted Consultants provide professional, objective, and impartial advice and at all times hold paramount the interests of MoUD and/or the city/state for which consultancy services are provided, strictly avoid conflicts with other assignments or its own interests, and act without any consideration for future work. The Shortlisted Consultants shall not accept or engage in any assignment that would be in conflict with, or that may place it in a position of not being able to carry out the assignment for which it has been engaged.

D. Shortlisting and Selection

2.21 Shortlisting of Consulting firms

- a) After the evaluation of Applications, MoUD shall prepare a shortlist Applicants who meet the qualification criteria as set out in this REOI Document.
- b) The Request for Proposal (RfP) will be issued to shortlisted consulting firms for the final selection.

E. Consultant Personnel

2.23 Requirement of Key Personnel

- a) The key Personnel required for this assignment whose CVs shall be submitted with the REOI shall be as follows:

S.No	Position
1.	Program Management Specialist cum Team Leader
2.	Monitoring & Evaluation Specialist

3.	Finance cum Account Specialist
4.	Infrastructure Specialist
5.	Reform Monitoring Specialist
6.	Finance cum Account Analyst
7.	Reform Monitoring Analyst
8.	Monitoring & Evaluation Analyst

The qualification, experience of the key experts and the details of other support professional staff required for the assignment is as under:

Qualifications	Experience & Skills	Broad Role
Experts Title : Program Management Specialist cum Team Leader		
<p>Masters in Management or Urban Planning or Engineering or Economics or other relevant fields,</p> <p>and</p> <p>Graduate in Engineering or Urban Planning or Economics.</p>	<p>15 years' of working experience in government/consulting/public policy particularly with urban Management</p> <p>Good knowledge of urban development issues and experience as team leader with track record of building new programs and delivering superior-quality results in a time bound manner.</p> <p>Additional background in engineering, urban planning, or policy will be an added advantage.</p> <p>The candidate should have experience in guiding implementation of urban development projects.</p>	<p>The team leader will be responsible for the overall functioning and management of the PMU and ensuring timely and efficient delivery of expected outputs.</p> <p>Ensuring effective mobilization and full utilization of all consulting inputs and leading the PMU team to timely completion of milestones and goals.</p> <p>Setting up of time bound targets for the PMU professionals in consultation with the Mission Director.</p> <p>Monitor the performance of the PMU professionals.</p> <p>Develop a set of benchmarks / indicators against which progress of the Mission can be measured.</p> <p>Closely monitor the performance on all aspects of the Mission in close collaboration with the Mission Director, and suggest</p>

		<p>allocation of resources to ensure that requirements of the Mission are fully addressed.</p> <p>Oversee performance of engaged experts/ firms providing support to cities under AMRUT mission.</p> <p>Support the Mission Director in developing guidelines for reforms.</p> <p>Assist the Mission Director in developing a consolidated fund flow mechanism for the Mission.</p>
Experts Title : Monitoring & Evaluation Specialist		
MBA with Graduate in Engineering or related fields	At least 10 years of experience in formulating and maintaining the database for project development, Procurement, quality and quantity aspects and project implementation.	<p>Develop a nation-level results framework (including core set of dashboard indicators) and develop guidelines for states and cities to customize this framework for their use.</p> <p>Collect, analyze, track, monitor and report program costs, schedule and budget information and prepare monthly/quarterly/ six monthly/ yearly reports accordingly and help ensure successful delivery of the program.</p> <p>Build and maintain the comprehensive database for capturing the details pertaining to implementation of the projects.</p> <p>Provide support for review of the project progress using IT tools, carry out analysis conducting workshops, conferences and other related matters.</p> <p>Develop a methodology and format for collecting and</p>

		<p>tracking data on project progress.</p> <p>Develop benchmarks and/ or indicators against which progress of the Projects will be measured.</p> <p>Carry out regular monitoring of projects including monitoring outcomes and performance of sanctioned and completed projects and produce status reports at regular intervals.</p>
Experts Title : Finance cum Account Specialist		
<p>CA or MBA in finance or equivalent degree</p>	<p>At least 10 years' experience in advising government ULBs.</p> <p>Good knowledge of municipals financial analysis, municipals budgeting and accounting and financial projection.</p> <p>The Expert must have hands on experience in preparing financial management and project and urban infrastructure financing.</p> <p>Experience in the assessment of the capital market situation, accessing funds from other sources including banks and financial institutions, innovative methods of raising and structuring finances including debt swaps and assessing possibility of Public private partnership.</p> <p>A strong understanding of quantitative research methods and ability to work with complex datasets</p>	<p>Support in establishing financial intermediaries</p> <p>Support in budgeting, planning and expenditure management of projects sanctioned under the mission</p> <p>Develop and maintain integrated financial management systems and reporting under project.</p> <p>Consolidate annual work plans, release budgets and monitor Mission expenditures at all levels.</p> <p>Conduct regular financials reviews at all levels of the project and timely compliance</p> <p>Support cities and states in developing or accessing the expertise necessary to evaluate, negotiate and implement public private partnerships</p> <p>Advise MoUD as well as state and city governments on innovative ways to structure financing and reform related to select</p>

		infrastructure investments so as to minimize costs, maximize efficiency of implementation, enable private sector participation and ensure financial sustainability.
Experts Title : Infrastructure Specialist		
Graduate in. Civil Engineering or Public Health & Environment Engineering or related field	<p>Minimum 10 years' experience in designing & implementing water supply/ WTP/ sewerage/ drainage Projects, user of related computer aided design</p> <p>Good knowledge of project implementation and management/ understanding of tender processes in the sector framing TOR as per requirement.</p>	<p>Technical appraisal and reappraisal of State Annual Action Plans</p> <p>Technical Support to States and Cities in preparation and consolidation of Service level improvement Plans</p> <p>Monitoring of the projects being implemented</p> <p>Assist the Mission Directorate in evaluation of work done by technical agencies (i.e.- IRMA)</p> <p>Assist the Mission Directorate in monitoring achievements of service levels benchmarks</p> <p>Provide, wherever called for, hand holding support to states for improving infrastructure investment</p> <p>Support the Mission Directorate in refining guidelines, if required</p> <p>Develop primers or guidelines for implementing reforms</p>
Experts Title : Reform Monitoring Specialist		
Graduate in Planning or Engineering or MBA	Minimum 10 years of experience in Urban Governance, Reform implementation and Evaluation of large	Support the Mission Directorate to update the reform database;

	<p>infrastructure/ flagships programs of Gol /externally aided projects /mission mode projects.</p>	<p>Support ULBs/ States in development of strategies for reform achievement;</p> <p>Provide support for the detailed analysis of reforms progress;</p> <p>Conduct analysis of the current status of reform implementation, based on the QPRs, review meeting and Reform Appraisal Reports (RARs), and provide regular feedback to the Mission Directorate;</p> <p>Documentation of case studies on selected reforms;</p> <p>Prepare an analysis of the complex and non-complex reforms and achievements of the same for states where UCs are not submitted;</p> <p>Contribute in various meeting of the committee formulated, if any, to discuss issue in reforms implementation.</p>
<p>Experts Title : Finance cum Account Analyst</p>		
<p>CA or MBA in finance or equivalent degree</p>	<p>5 years of experience in working on urban projects and a strong understanding of quantitative research methods and ability to work with complex datasets</p>	<p>Consolidate annual work plans, release budgets and monitor Mission expenditures at all levels.</p> <p>Help the sector experts and specialist in all sorts of field research, data collection and collation; prepare preliminary reports, minutes of meetings and other administrative requirement as and when required.</p> <p>Assist the project implementation and monitoring expert in his duties</p>

		Assist in maintenance of records in the project monitoring and information system.
Experts Title : Reform Monitoring Analyst		
Bachelor in Planning or Engineering or MBA or PG in Social Sciences.	Minimum 5 years' experience in Urban Governance, Reform Evaluation. Knowledge/ experience of implementation of citizen services delivery systems.	Assist the Reform Implementation Specialist in his duties. Evaluated the reform appraisal report of reforms appraisal agencies appointed by the Mission Directorate. Provide long term support in implementing reforms, and initiated the exercise for handholding for reforms so as to assist the states and cities in reform implementation Up gradating and Disseminating Reform Primers Assist in formulating guidelines for providing handholding support to States and Cities where in areas have been identified where support can be provided in facilitating reform implementation.
Experts Title : Monitoring & Evaluation Analyst		
MBA or Graduate in Engineering or equivalent	At least 5 years of experience in formulating and maintaining the database for project development, Procurement, quality and quantity aspects and project implementation.	Assist Monitoring and Evaluation Specialist in carrying out the tasks Mandated for that position.

3 Criteria for Evaluation

This section provides the details of evaluation process. The Applications will be evaluated on the basis of the following parameters:

3.1 Financial Capacity of the Applicant

- a) The Applicant (in case of single business entity) / Lead Member (in case of Consortium) should have a minimum average annual turnover of Indian Rs. (INR) 10(ten) Crore from consultancy business during the last three (3) financial years (2012-13, 2013-14 and 2014-15).
- b) The Applicant shall enclose with its Application, certificate(s) from its Statutory Auditors stating its total revenues from professional fees and Net profit (from consultancy business) during each of the past three financial years and in the event that the Applicant does not have a statutory auditor, it shall provide the requisite certificate(s) from the firm of Chartered Accountants that ordinarily audits the annual accounts of the Applicant

3.2 Technical Capacity of the Applicant

The Applicant shall over the past 10 (ten) years preceding the Proposal submission due date shall demonstrate experience in the following assignments:

Sr. No.	Criteria
1.	<p>Past experience of the Consultant (as a Firm)</p> <ol style="list-style-type: none"> (i) Minimum Number of years of experience should be 3 years. (ii) Past experience of Studies of Similar nature: <ol style="list-style-type: none"> (a) Experience in similar assignments (Project Management Units/ Support Units/ Technical Support or Coordinator Consultants or similar at government levels (Central/ State), in last 10 years, with minimum value of consultancy of Rs One Hundred (100) Lakh (b) Experience in Monitoring and Evaluation of large scale urban Projects with minimum value of consultancy of Rs Fifty (50) Lakh (iii) Past experience in carrying out studies in related sectors like Experience of studies on state and city urban policy/ urban reforms (iv) Past experience in carrying out studies in related sectors like Experience of studies on state and city urban policy/ urban reforms in India
2.	Experience of key Personnel

3.3 Evaluation of Applications

- a) This section provides the details of evaluation process. The Applications will be evaluated on the basis of the above indicated financial and technical capacity of the applicant as below:

S.No.	Parameter	Maximum Marks
1.	Financial Strength of the Firm	15
2.	Past experience of the Consultant (as a Firm)	60
a.	Number of years of experience	12
b.	(i) Experience in similar assignments (Project Management Units/ Support Units/ Technical Support or Coordinator Consultants or similar at government levels (Central/ State), in last 10 years, with minimum value of consultancy of Rs One Hundred (100) Lakh. (ii) Experience in Monitoring and Evaluation of large scale urban Projects with minimum value of consultancy of Rs Fifty (50) Lakh.	30
c.	Past experience in carrying out studies in related sectors viz. on Urban policy/ Urban reforms in the state/city.	12
d.	Past experience in carrying out studies in related sectors viz. on Urban policy/ Urban reforms in the state/city carried out in India.	6
3.	Experience of Key Personnel.	25
Total		100

- b) The minimum score for shortlisting of the Applicants (firm) is 50 marks out of 100. However, out of the Applicants (firm) securing 50 marks or more, six (06) top scoring Applicants (firm) shall be shortlisted and the RFP documents be issued to those six shortlisted Applicants (Firm).
- c) The RfP will be issued to shortlisted firms for selection on Combined Quality Cum Cost Based System (CQCCBS).

4 Fraud and Corrupt Practices

- 4.1 The Applicants and their respective officers, employees, agents and advisers shall observe the highest standard of ethics during the Shortlisting Process. Notwithstanding anything contrary to the contents of this REOI, MoUD shall reject an Application without being liable in any manner whatsoever to the Applicant, if it determines that the Applicant or a member of a Consortium has, directly or indirectly, or through an agent, has engaged in corrupt, fraudulent, coercive, undesirable or restrictive practice (collectively the “**Prohibited Practices**”) in the Shortlisting Process.
- 4.2 Without prejudice to the rights of MoUD under Clause 4.1 hereinabove, if an Applicant or Consultant, as the case may be, is found by the MoUD to have directly or indirectly, or through an agent, engaged or indulged in any prohibited practice during the Shortlisting Process, or after the issue of the notification of shortlisting, such Applicant or Consultant shall not be eligible to participate in procurement process issued by MoUD during a period of 2 (two) years from the date such Applicant or Consultant, as the case may be, is found by MoUD to have engaged or indulged in the prohibited practice.
- 4.3 For the purposes of this Section, the following terms shall have the meaning hereinafter respectively assigned to them:
- a. “**corrupt practice**” means (i) the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of any person connected with the Shortlisting Process; or (ii) save as provided herein, engaging in any manner whatsoever, whether during the Shortlisting Process or after the issue of the Notification of Shortlisting as the case may be, any person in respect of any matter relating to the empanelment or Notification of Shortlisting, who at any time has been or is a legal, financial or technical consultant/ advisor of MoUD in relation to any matter concerning the Project;
 - b. “**fraudulent practice**” means a misrepresentation or omission of facts or disclosure of incomplete facts, in order to influence the Shortlisting Process;
 - c. “**coercive practice**” means impairing or harming or threatening to impair or harm, directly or indirectly, any persons or property to influence any person’s participation or action in the Shortlisting Process;
 - d. “**undesirable practice**” means (i) establishing contact with any person connected with or employed or engaged by the MoUD with the objective of canvassing, lobbying or in any manner influencing or attempting to influence the Shortlisting Process; or (ii) having a Conflict of Interest; and
 - e. “**restrictive practice**” means forming a cartel or arriving at any understanding or arrangement among Applicants with the objective of

restricting or manipulating a full and fair competition in the Shortlisting Process.

5 Miscellaneous

- 5.1 The Shortlisting Process shall be governed by, and construed in accordance with the laws of India and the Courts at New Delhi shall have exclusive jurisdiction over all disputes arising under, pursuant to and/or in connection with the Shortlisting Process.
- 5.2 MoUD, in its sole discretion and without incurring any obligation or liability, reserves the right, at any time, to:
- a. Suspend and/or cancel the Shortlisting Process and/or amend and/or supplement the Shortlisting Process or modify the dates or other terms and conditions relating thereto;
 - b. Consult with any Applicant in order to receive clarification or further information;
 - c. Retain any information and/or evidence submitted to MoUD by, on behalf of and/or in relation to any Applicant; and/or
 - d. Independently verify, disqualify, reject and/or accept any and all submissions or other information and/or evidence submitted by or on behalf of any Applicant.
- 5.3 It shall be deemed that by submitting the Application, the Applicant agrees and releases MoUD, its employees, agents and advisers, irrevocably, unconditionally, fully and finally from any and all liability for claims, losses, damages, costs, expenses or liabilities in any way related to or arising from the exercise of any rights and/or performance of any obligations hereunder, pursuant hereto and/or in connection herewith and waives any and all rights and/or claims it may have in this respect, whether actual or contingent, whether present or future.
- 5.4 All documents and other information supplied by MoUD or submitted by an Applicant shall remain or become, as the case may be, the property of MoUD. MoUD will not return any submissions made hereunder. Applicants are required to treat all such documents and information as strictly confidential.
- 5.5 MoUD reserves the right to make inquiries with any of the clients listed by the Applicants in their previous experience record.

Appendix 1: Format for Letter of Application

[On the Letter head of the Applicant (Lead Member in case of Consortium)]

Date:

To

Nirman Bhawan
Maulana Azad Road
New Delhi – 110108

Ref: EOI for “Project Management Unit (PMU) for for Atal Mission for Rejuvenation and Urban Transformation (AMRUT) Mission”

Dear Sir,

Being duly authorized to represent and act on behalf of
..... (Hereinafter referred to as “the Applicant”), and having gone through and fully understood all of the eligibility and qualification requirements and information provided, the undersigned hereby apply for Shortlisting for the captioned project.

We are enclosing our Application for Qualification, with the details as per the requirements of the REOI Document, for your evaluation.

We confirm that our Application is valid for a period of 120 (one hundred and twenty) days from (Application Due Date)

Yours faithfully,

(Signature of Authorised Signatory)
(Name, Title and Address)

Appendix 2: Format for Power of Attorney for Signing of Application

(On Non – judicial stamp paper of Rs 100/- or such equivalent document duly attested by notary public)

Power of Attorney

Know all men by these presents, we (name and address of the registered office) do hereby constitute, appoint and authorise Mr. / Ms..... (name and residential address) who is presently employed with us and holding the position of as our attorney, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to our application for EOI for “**Project Management Unit (PMU) for for Atal Mission for Rejuvenation and Urban Transformation (AMRUT) Mission**”. , including signing and submission of all documents and providing information / responses to Ministry of Urban Development (“MoUD”), representing us in all matters before MoUD, and generally dealing with MoUD in all matters in connection with our bid for the said Project.

We hereby agree to ratify all acts, deeds and things lawfully done by our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us.

For

(Signature)

(Name, Title and Address)

Accepted

..... (Signature)

(Name, Title and Address of the Attorney)

Note:

- *To be executed by the Lead Member in case of a Consortium.*
- *The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executants(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.*
- *In case the Application is signed by an authorised Director of the Applicant, a certified copy of the appropriate resolution/ document conveying such authority may be enclosed in lieu of the Power of Attorney.*

Appendix 3: Format for Power of Attorney for Lead Member of Consortium

(On Non – judicial stamp paper of Rs 100/- or such equivalent document duly attested by notary public)

Power of Attorney

Whereas the Ministry of Urban Development (MoUD), Government of India has invited applications from interested parties for **EOI for “Project Management Unit (PMU) for for Atal Mission for Rejuvenation and Urban Transformation (AMRUT) Mission”**. Whereas, the members of the Consortium are interested in bidding for the Project and implementing the Project in accordance with the terms and conditions of the Request for Expression of Interest (REOI) Document, and other connected documents in respect of the Project, and

Whereas, it is necessary under the REOI Document for the members of the Consortium to designate the Lead Member with all necessary power and authority to do for and on behalf of the Consortium, all acts, deeds and things as may be necessary in connection with the Consortium’s bid for the Project who, acting jointly, would have all necessary power and authority to do all acts, deeds and things on behalf of the Consortium, as may be necessary in connection the Consortium’s bid for the Project.

NOW THIS POWER OF ATTORNEY WITNESSTHAT;

We, M/s. (Lead Member), and M/s (the respective names and addresses of the registered office) do hereby designate M/s. being one of the members of the Consortium, as the Lead Member of the Consortium, to do on behalf of the Consortium, all or any of the acts, deeds or things necessary or incidental to the Consortium’s bid for the Project, including submission of Application for Qualification/ Application, participating in conferences, responding to queries, submission of information/ documents and generally to represent the Consortium in all its dealings with MoUD, any other Government Agency or any person, in connection with the Project until culmination of the process of bidding and thereafter till the Concession Agreement is entered into with MoUD.

We hereby agree to ratify all acts, deeds and things lawfully done by Lead Member, our said attorney pursuant to this Power of Attorney and that all acts deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us/Consortium.

Dated this theDay of2016

.....

(Executants)

(To be executed by all the members of the Consortium)

Note: The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.

Appendix 4: Format for Details of Applicant

“Project Management Unit (PMU) for for Atal Mission for Rejuvenation and Urban Transformation (AMRUT) mission”

Please enter the information requested in the spaces provided. Application from separate firms, or individuals or from consortia are acceptable.

APPLIED FOR

I. Basic Information

2 APPLICANT DETAILS

Name of Firm making this application	Parent Company (if applicable)

**Add / delete additional lines for consortium partners as appropriate.*

3 CONTACT PERSON (for this application)

Name	
Organisation	
Address	
Telephone	
Fax	
e-mail	

4 REGISTERED ADDRESS

--

1. YEARS IN BUSINESS AND NATIONALITY

Year of Establishment	Country of Registration

5. EXPERIENCE IN PROJECTS OF A SIMILAR NATURE

List projects in the last ten years (max 10 for each sub-criteria or as indicated) which are similar to that in the EOI is in Appendix 6.

6. TOTAL TURNOVER AND NET PROFIT

The organization's **Total annual turnover** for the last three financial years is as follows:

Name of Firm	FY 2012-13	FY 2013-14	FY 2014-15	Average*

The organization's **Net Profit** for the last three financial years is as follows:

Name of Firm	FY 2012-13	FY 2013-14	FY 2014-15	Average*

2. Registration Number of the Firm (attach true copy of registration certificate)

3. Service Tax Registration Number (attach true copy)

4. Are you presently debarred / Black listed by any Government Department /Public Sector Undertaking /Any Employer? (If Yes, please furnished details)

In case of a Consortium:

- The information above should be provided for all the members of the consortium.
- Information regarding role of each member should be provided as per table below:

Sr. No.	Name of Member	Role (Specify Lead Member/ Other Member)
1.		
2.		

Certified that the above information is correct to the best of our knowledge and no relevant information is concealed. If at any time during or after the Shortlisting, it is proved that the information furnished by us is wrong, MoUD reserves the right to take necessary action against our firm as per applicable Laws/Rules of the land.

Signature of Authorized Representative of the Firm

Date Name _____

Place Designation

Tel No.

Mobile No.

E Mail ID

Seal/Stamp of the Firm

Appendix 5: Format for Financial Capability of the Applicant

(Equivalent in Rs. crores)

Applicant*	Annual Turnover (from consultancy business)				
	2012-13	2013-14	2014-15	Total	Average
Sole Applicant					
	OR				
Lead Member of Consortium					
Certificate from the Statutory Auditor					
<p>This is to certify that(name of the Applicant) has received the payments shown above against the respective years on account of Consultancy fees.</p> <p>Name of the audit firm:</p> <p>Seal of the audit firm</p> <p>Date:</p> <p>(Signature, name and designation of the authorised signatory)</p>					

- # The Applicant should provide the Financial Capability based on its own financial statements. Financial Capability of the Applicant's parent company or its subsidiary or any associate company will not be considered for computation of the Financial Capability of the Applicant.
- * Any Applicant should fill in details as per the row titled Sole Applicant and ignore the row below. In case of a Consortium, ignore the first row and provide relevant details of Lead Member in the subsequent row. In case the Applicant is a Consortium, for the purpose of evaluation on financial parameters, financial parameters of the Lead Member only shall considered.

Appendix 5A: Format for Financial Capability of the Applicant

(Equivalent in Rs. crores)

Applicant*	Net profit (from consultancy business)				
	2012-13	2013-14	2014-15	Total	Average
Sole Applicant					
	OR				
Lead Member of Consortium					

Certificate from the Statutory Auditor

This is to certify that(name of the Applicant) has Net profit shown above against the respective years on account of Consultancy Business.

Name of the audit firm:

Seal of the audit firm

Date:

(Signature, name and designation of the authorised signatory)

§

- # The Applicant should provide the Financial Capability based on its own financial statements. Financial Capability of the Applicant's parent company or its subsidiary or any associate company will not be considered for computation of the Financial Capability of the Applicant.
- * Any Applicant should fill in details as per the row titled Sole Applicant and ignore the row below. In case of a Consortium, ignore the first row and provide relevant details of Lead Member in the subsequent row. In case the Applicant is a Consortium, for the purpose of evaluation on financial parameters, financial parameters of the Lead Member only shall considered.

Appendix 6: Format for Past Experience of the Applicant

Category No.	Criteria ²	Number and Name of Cities
1	Experience in similar assignments (Project Management Units/ Support Units/ Technical Support or Coordinator Consultants or similar at government levels (Central/ State/ Municipal) , in last 10 years, with minimum value of consultancy of Rs. Hundred (100) Lakh	
2	Experience in Monitoring and Evaluation of large scale urban Projects with minimum value of consultancy of Rs. Fifty (50) Lakh	
3	Past experience in carrying out studies in related sectors viz. on Urban policy/ Urban reforms in the state/city	
4	Past experience in carrying out studies in related sectors viz. on Urban policy/ Urban reforms in the state/city carried out in India	

² A copy work order and agreement showing the details of the scope of work along with proof of submission of final report/draft final report to be submitted with this Application. Substantially completed shall be considered in case the Applicant has completed Draft Final Report. MoUD may seek clarifications, if required, as per Clause 2.19.

Appendix 6A: Format of Assignment Details (Category 1) of the Applicant

Past Experience in similar assignments (Project Management Units/ Support Units/ Technical Support or Coordinator Consultants or similar at government levels (Central/ State/ Municipal) , in last 10 years, with minimum value of consultancy of Rs Hundred (100) Lakh

Assignment name:	Approx. value of the contract (in current INR):
Country: Location within country:	Duration of assignment (months):
Name of Client:	Total N ^o of staff-months of the assignment:
Address:	Approx. value of the services provided by your firm under the contract (in INR):
Start date (month/year): Completion date (month/year):	N ^o of professional staff-months provided by associated Consultants:
Narrative description of Project:	
Description of actual services provided by your staff within the assignment:	

Notes: Use separate sheet for each Eligible Project

Appendix 6B: Format of Assignment Details (Category 2) of the Applicant

Past Experience in Monitoring and Evaluation of large scale urban Projects with minimum value of consultancy of Rs Fifty (50) Lakh

Assignment name:	Approx. value of the contract (in current INR):
Country: Location within country:	Duration of assignment (months):
Name of Client:	Total N ^o of staff-months of the assignment:
Address:	Approx. value of the services provided by your firm under the contract (in INR):
Start date (month/year): Completion date (month/year):	N ^o of professional staff-months provided by associated Consultants:
Narrative description of Project:	
Description of actual services provided by your staff within the assignment:	

Notes: Use separate sheet for each Eligible Project

Appendix 6C: Format of Assignment Details (Category 3) of the Applicant

Past experience in carrying out studies in related sectors viz. on Urban policy/ Urban reforms in the state/city

Assignment name:	Approx. value of the contract (in current INR):
Country: Location within country:	Duration of assignment (months):
Name of Client:	Total N ^o of staff-months of the assignment:
Address:	Approx. value of the services provided by your firm under the contract (in INR):
Start date (month/year): Completion date (month/year):	N ^o of professional staff-months provided by associated Consultants:
Narrative description of Project:	
Description of actual services provided by your staff within the assignment:	

Notes: Use separate sheet for each Eligible Project

Appendix 6 D: Format of Assignment Details (Category 4) of the Applicant

Past experience in carrying out studies in related sectors viz. on Urban policy/ Urban reforms in the state/city carried out in India

Assignment name:	Approx. value of the contract (in current INR):
Country: Location within country:	Duration of assignment (months):
Name of Client:	Total N ^o of staff-months of the assignment:
Address:	Approx. value of the services provided by your firm under the contract (in INR):
Start date (month/year): Completion date (month/year):	N ^o of professional staff-months provided by associated Consultants:
Narrative description of Project:	
Description of actual services provided by your staff within the assignment:	

Notes: Use separate sheet for each Eligible Project

Appendix 7 Experience of Key Personnel

CURRICULUM VITAE (CV)

Position Title and No.	{e.g., K-1, TEAM LEADER}
Name of Expert:	{Insert full name}
Date of Birth:	{day/month/year}
Country of Citizenship/Residence	

Education: {List college/university or other specialized education, giving names of educational institutions, dates attended, degree(s)/diploma(s) obtained}

Employment record relevant to the assignment: {Starting with present position, list in reverse order. Please provide dates, name of employing organization, titles of positions held, types of activities performed and location of the assignment, and contact information of previous clients and employing organization(s) who can be contacted for references. Past employment that is not relevant to the assignment does not need to be included.}

Period	Employing organization and your title/position. Contact info for references	Country	Summary of activities performed relevant to the Assignment
[e.g., May 2005-present]	[e.g., Ministry of, advisor/consultant to... For references: Tel...../e-mail.....; Mr. Hbbbb, deputy minister]		

Membership in Professional Associations and Publications:

Language Skills (indicate only languages in which you can work):

Adequacy for the Assignment:

Detailed Tasks Assigned on Consultant's Team of Experts:	Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks
{List all deliverables/tasks as in TECH-5 in which the Expert will be involved}	

Expert's contact information: (e-mail....., phone.....)

Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience, and I am available to undertake the assignment in case of an award. I understand that any misstatement or misrepresentation described herein may lead to my disqualification or dismissal by the Client.

{day/month/year}

Name of Expert

Signature

Date

{day/month/year}

Name of authorized
Date

Signature

Representative of the Consultant
(the same who signs the Proposal)

Appendix 8: Format for Affidavit Certifying that Entity / Director/s of Entity are not Blacklisted

(On a Stamp Paper of relevant value)

Affidavit

I M/s. (Sole Applicant / Lead Member/ Other Member /s)), (the names and addresses of the registered office) hereby certify and confirm that we or any of our promoter/s / director/s are not barred or blacklisted by any state government or central government / department / agency/PSU in India from participating in Project/s, either individually or as member of a Consortium as on _____.

We further confirm that we are aware that as per Clause 2.7 (b), our Application for the captioned Project would be liable for rejection in case any material misrepresentation is made or discovered with regard to the requirements of Clause 2.2 (f) or 2.2 (g) any stage of the Shortlisting / selection Process or

Dated thisDay of, 201....

Name of the Applicant

.....
Signature of the Authorised Person

.....
Name of the Authorised Person

Note:

To be executed separately by all the Members in case of Consortium

Appendix 9: Format for Memorandum of Understanding (MOU)

(On Non – judicial stamp paper of Rs 1000/- or such equivalent document duly attested by notary public)

This Memorandum of Understanding (MoU) entered into this ____day of _____ 2016 at _____ among _____ and having its registered office at _____, (hereinafter referred as "_____"), which expression unless repugnant to the context or meaning thereof includes its successors and permitted substitutes) of the First Part

and

_____ and having its registered office at _____, (hereinafter referred as "_____"), which expression unless repugnant to the context or meaning thereof includes its successors and permitted substitutes) of the Second Part

and

The parties are individually referred to as Party and collectively as Parties.

WHEREAS Ministry of Urban Development ("MoUD"), has invited Request for Expression of Interest (REOI) from entities interested for **EOI for "Project Management Unit (PMU) for for Atal Mission for Rejuvenation and Urban Transformation (AMRUT) Mission"**, ("Project") as per the terms contained in the REOI Document.

AND WHEREAS the Parties have had discussions for formation of a Consortium for bidding for the said Project and have reached an understanding on the following points with respect to the Parties' rights and obligations towards each other and their working relationship.

IT IS HEREBY AS MUTUAL UNDERSTANDING OF THE PARTIES AGREED AND DECLARED AS FOLLOWS:

1. That the roles and the responsibilities of each Party at each stage of the Project shall be as follows:
2. That the Parties shall be jointly and severally liable for the execution of the Projects arising from the States, Union Territories, as the case may be and in accordance with in accordance with the terms of the Contract Agreement to be executed on award of the such Projects.
3. That this MoU shall be governed in accordance with the laws of India and courts in Delhi shall have exclusive jurisdiction to adjudicate disputes arising from the terms herein.

In witness whereof the Parties affirm that the information provided is accurate and true and have caused this MoU to be duly executed on the date and year above mentioned.

1. First Party
2. Second Party
3. Third Party

Witness: