

F.N. K-14012/32/2014/SC-II-(Vol-II)/AMRUT
Government of India
Ministry of Urban Development
(AMRUT Division)

Nirman Bhawan, New Delhi
Dated: 20th January, 2017

OFFICE MEMORANDUM

Subject: Format and process for claiming 2nd instalment of SAAPs I & II and release of 1st instalment of SAAP-III under AMRUT-regarding.

The Mission Guidelines of AMRUT (Para 9) provide that the funds are to be released in three instalments of 20:40:40. Para 9.3 of the Guidelines further underlines the condition that 2nd and 3rd instalments of CA will be released as and when at least 75% of the amount released by Central Govt. as well as State Govt. according to the funding pattern (Para 5 of guidelines) have actually been utilized.

2. The first instalment (20% of ACA) is released immediately after the approval of the SAAPs by the APEX Committee. The Mission Directorate has already allowed fungibility of funds among the projects and available funds can be utilized against progressing projects irrespective of their release against a particular SAAP. Therefore, it has been decided that the 2nd instalment in respect of SAAPs I & II (40% of ACA) will be released together after a minimum of 75% utilisation of funds released against the two SAAPs has been made. Furthermore, such release will be subject to the following conditions:

- i. Certification to the effect that a minimum of 75% of the total funds available (Central Share + State Share) against both SAAPs I & II combined have been actually utilized. Utilization Certificate in standard form GFR 19A
- ii. Project details and financial progress made so far in respect of all the sanctioned projects under SAAPs I & II in the revised form as attached hereto. This will include all the projects separated in two groups. First groups will include projects where physical/financial progress has taken place and the second group will include those projects where such progress has not taken place. The sum of the projects costs will be in accordance with the size of the two SAAPs. The project

details in this form will be filled using the online tool being developed by the Mission Directorate. This tool will utilize the project database of the AMRUT Project Portal. Therefore, it will be essential for the States to update the latest details in respect of each project in the portal.

- iii. Action taken report from the State/UT government on IRMA report.
 - iv. Certificate of transfer of all central funds and along with eligible State share to the State Mission Director/ ULBs/ parastatal agencies
3. The 1st instalment in respect of approved third and final SAAPs will be released in the financial year 2017-18.
4. The State and UT Govts are requested to take action accordingly and expeditiously.

Encls.: As stated above.


(Shiv Pal Singh)

Director (AMRUT)

Ph.: 011-23062399

To,

1. The Additinoal Chief Secretary/ Principal Secretary/ Secretary (UD) of all States/UTs.
2. Sr. Technical Director (NIC), MoUD, Nirman Bhawan (With request to upload on the AMRUT website).

Annexure 6: Project Funds Request

Project Wise Instalment Release Request to be submitted by ULB to State

(All amounts in Rs.)

1	Name of the City			
2	Project ID			
3	Name of the Project			
4	Scheduled Completion Date			
5	SHPSC Approved Cost			
6	Tendered Cost			
7	Funding Pattern			
	7a) Central Share			
	7b) State Share			
	7c) ULB Share			
8	Service Level Improvement through this project			
	8a) Water Supply			
	No. of Tap connections	Likely to be given on completion (As per SLIP)	Connections given since last instalment release	Connections given till now
	8b) Sewerage			
	No. of households serviced	Likely to be serviced on completion (As per SLIP)	Serviced since last instalment released	Serviced till now

9	Past Financial Progress		
		Amount Due	Amount Released
	Central Share		
	State Share		
	ULB Share		
	Total Amount Spent		
10	Current Financial Progress		
		Amount Due	Amount Released
	Central Share		
	State Share		
	ULB Share		
	Total Amount Spent		
11	Cumulative Financial Progress		
		Amount Due	Amount Released
	Central Share		
	State Share		
	ULB Share		
	Total Amount Spent		
12	Physical Progress (%)		
13	Describe in brief the conditions imposed by SHPSC at the time of sanction of project & release of next instalment		
14	Whether conditions imposed by SHPSC fulfilled?	Yes	No
15	IRMA		

	14a) Describe in brief the actionable comments/concerns by IRMA		
	14b) Whether IRMA observations have been addressed?	Yes	No

Date: _____

Municipal Commissioner/Head of ULB

(Seal and Signature)

SUMMARY OF INSTALMENT RELEASE REQUEST TO BE SENT BY STATE/UT TO MoUD

Name of State/UT: _____

S. No.	City Name	Project Name	Scheduled Completion Date	Approved Cost (Rs. Cr.)	ACA Admissible (Rs. Cr.)	Amount Released till date (Rs. Cr.)	Amount Spent (Rs. Cr.)	Physical Progress till date	Whether action taken on IRMA observations?		
						Central	State	ULB	Total		

Date: _____

State Mission Director

(Signature with Seal)

Note: The State Mission Directorate is to ensure that details provided in this form are in respect of ALL THE PROJECTS SANCTIONED UNDER SAAPs I & II and not limited to only those projects which have made progress.

FORM OF UTILIZATION CERTIFICATE**Name of State/UT:** _____

S. No.	Letter No. and Date	Amount (Rs.)	
			Certified that out of Rs. _____ of Grants- in-aid sanctioned during the years _____ in favour of _____ under this Ministry/Department letter No. given in the margin and Rs. _____ on account of unspent balance of the previous year, a sum of Rs. _____ has been utilized for the purpose of _____ for which it was sanctioned and that the balance of Rs. _____ remaining unutilized at the end of the year has been surrendered to Government (vide No. _____ dated _____) will be adjusted towards the grants - in-aid payable during the next year _____
	TOTAL:		

Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

Kinds of checks exercised.

- 1.
- 2.

Date: _____

State Mission Director

(Signature with Seal)

1. Date of release of ACA by Gol –
2. Date of release of ACA to ULBs –
3. Date of release of State share to ULBs –
4. Interest calculated at *g-sec* rate to be
To be deducted for delayed release of ACA to ULBs –

Counter Signed by

Principal Secretary / Secretary of Urban Development