



सत्यमेव जयते

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Principal Secretary to Government
Department of Local Self Government
Government Secretariat, Jaipur-302005

No. F16 (AMRUT-01)RUIFDCO/AMRUT/2015-16/4039
Date: 08.09.2015

To,

Dr. Sameer Sharma
Additional Secretary (Smart City),
Ministry of Urban Development, GoI
Nirman Bhawan,
New Delhi-110011

Sub:-State Annual Action Plan (SAAP) of Rajasthan under AMRUT for year 2015-16.

Dear Sir,

State Annual Action Plan (SAAP) was prepared on the basis of Service Level Improvement Plan (SLIPs) submitted by all 28 ULBs of Mission Towns.

This SAAP was presented in the 1st meeting of State Level High Powered Steering Committee (SHpsc) held on 04.09.2015 and the same was approved for recommending it to MoUD. The SAAP including all formats duly filled along with a copy of minutes of meeting is enclosed for kind approval & early release of 1st installment.

Encl:-As above

Yours sincerely,


(Dr. Manjit Singh)

8/9/15

STATE ANNUAL ACTION PLAN (SAAP)



STATE- RAJASTHAN



*Atal Mission for Rejuvenation
and Urban Transformation*

Submitted by:
GOVERNMENT OF RAJASTHAN
DEPARTMENT OF LOCAL SELF GOVERNMENT

TABLE OF CONTENTS

Checklist - Consolidated State Annual Action Plan of all ULBs to be sent for Assessment by MoUD (as per Table 6.2)	1
Minutes of State High Power Steering Committee (SHPSC) Meeting	4
ABSTRACT OF PROJECT FUND.....	8
AMRUT MISSION	11
Thrust areas under mission:.....	11
URBAN SCENARIO IN RAJASTHAN	11
Coverage under Mission for Rajasthan:-	13
Program Management Structure	14
Funding Pattern	15
Table 4: Fund Sharing Pattern in Mission.....	16
Table 5: Sector wise Project Fund Allocation	17
STATE ANNUAL ACTION PLAN (SAAP)	18
Introduction:	18
1. Principles of Prioritization	20
2. Importance of O&M	21
3. Financing of Projects	23
PRINCIPLE OF PRIORITIZATION.....	70

LIST OF TABLES

Table 1: Class wise distribution of towns in Rajasthan, 2011	12
Table 2: Decadal growth rate for Urban population India and Rajasthan.....	13
Table 3: 28 Cities of Rajasthan.....	13
Table 4: Fund Sharing Pattern in Mission	16
Table 5: Sector wise Project Fund Allocation	17

LIST OF FIGURES

Figure 1: Population of Mission Cities	14
Figure 2: Program Management Structure	15
Figure 3: Mission Fund Allocation	15
Figure 4: Sharing Pattern.....	16
Figure 5: Sector wise Allocation.....	17

CHECKLIST - CONSOLIDATED STATE ANNUAL ACTION PLAN OF ALL ULBS TO BE SENT FOR ASSESSMENT BY MOUD (AS PER TABLE 6.2)**State: Rajasthan**

S. N.	Point of Consideration	Yes/No	Give/Details
1.	Have all Cities prepared SLIP as per the suggested approach?	Yes	First priority has been given to universal coverage i.e. Water Supply and Sewerage/Septage.
2.	Has the SAAP prioritized proposed investments across cities/	Yes	Priority has been given looking to existing service level especially for Water Supply & Sewerage.
3.	Is the indicator wise summary of improvement proposed (both investments and management improvements) by State in place?	Yes	Indicator wise improvement proposal both for investment and management has been considered as per requirement.
4.	Have all the cities under Mission identified/done baseline assessments of service coverage indicators?	Yes	The base line assessment of service coverage has been done for all mission cities.
5.	Are SAAPs addressing an approach towards meeting Service Level Benchmarks agreed by Ministry for each Sector?	Yes	SAAP has been prepared to meet Service Level Benchmarks as agreed by Ministry for each Sector.
6.	Is the investment proposed commensurate to the level of improvement envisaged in the indicator?	Yes	Investment proposed commensurate with Service Level Improvement envisaged in the indicator.

7.	Are State Share and ULB share in line with proposed Mission approach?	Yes	State Share shall be 30% & ULB Share shall be 20%.
8.	Is there a need for additional resources and have state considered raising additional resources (State programs, aided projects, additional devolution to cities, 14 th Financial Commission, external sources)?	Yes	Efforts are being made to mobilize additional financial resource through 14 th Finance Commission, ADB assistance, and State Program etc.
9.	Does State Annual Action Plan verify that the cities have undertaken financial projections to identify revenue requirements for O & M and repayments?	Yes	SAAP has been prepared considering O & M charges to be reimbursed by collecting user charges. Cost of O & M shall be borne by concerned ULB. Additional funds required for O & M and repayment shall be worked out while preparing the DPR.
10.	Has the State Annual Action Plan considered the resource mobilization capacity of each ULB to ensure that ULB share can be mobilized?	Yes	SAAP has been prepared considering Financial Positions of ULB & if required funds shall be raised through financial institution & Municipal bonds.
11.	Has the process of establishment of PDMC been initiated?	Yes	The work is being given to PDCOR Ltd. - a joint venture company of GoR & IL & FS.
12.	Has a roadmap been prepared to realize the resource potential of the ULB?	Yes	The resource potential of each ULB has been considered while preparing the SAAP. Alternate fund sources for financially weak ULBs is being arranged.

- | | | | |
|-----|---|-----|--|
| 13. | Is the implementation plan for projects and reforms in place (Time lines any yearly milestone)? | Yes | All departments concerning implementation of reform have been directed to implement reforms in given time lines. |
| 14. | Has the prioritization of projects in ULBs been done in accordance with para 7.2 of the guidelines? | Yes | Prioritization of projects have been done in accordance with para 7.2 of guidelines. Priority has been given where service level gaps is more in order to achieve universal coverage. Financially weak ULBs have been given more fund. |

Manjit Singh
(Dr. Manjit Singh) *9/9/15*
Pr. Secretary, LSGD &
State Mission Director,
AMRUT, Rajasthan

MINUTES OF STATE HIGH POWER STEERING COMMITTEE (SHPSC) MEETING**Rajasthan Urban Infrastructure Finance & Development Corporation Limited****(A Govt. of Rajasthan Undertaking)**Old Working Woman Hostel, Behind Nehru Place, Lal Kothi, Tonk Road, Jaipur - 302015
Ph. - 0141 - 2740800, PBX - 2742240, 2742538, 2742263, Fax - 2740771 email- ruidco@gmail.com

No. F16 (AMRUT-02)RUIFDco/AMRUT/2015-16/ 4028

Date: 08.09.2015

Minutes of Meeting**Minutes of 1st Meeting of "State Level High Powered Steering Committee" (SHPSC) under Atal Mission for Rejuvenation and Urban Transformation (AMRUT) held on 04.09.2015**

The 1st meeting of State Level High Powered Steering Committee (SHPSC) under AMRUT was held on Friday, 04.09.2015 at 3:30 PM in Committee Room No. 1 of Secretariat under the Chairmanship of Chief Secretary, GoR.

The following were present in the meeting.

1. Sh. Om Prakash Meena, Additional Chief Secretary, Environment & Forest
2. Sh. Ashok Jain, Additional Chief Secretary, UDH
3. Sh. J. C. Mohanty, Principal Secretary, PHED
4. Dr. Manjit Singh, Principal Secretary, (LSGD) Cum State Mission Director
5. Sh. S. C. Dinkar, Special Secretary, Finance
6. Sh. Dinesh Kumar, Director, AMRUT MoUD, GoI, New Delhi
7. Sh. Purushottam Biyani, Director, Directorate of Local Bodies, Rajasthan
8. Sh. C. M. Chouhan, Chief Engineer (HQ), PHED

At the outset, Pr. Secretary LSGD Cum State Mission Director welcomed all members of newly constituted SHPSC. A brief Presentation on newly launched AMRUT was made by CE, RUIFDco. It was informed by Pr. Secretary, LSGD, that as per AMRUT guidelines, city wise Service Level Improvement Plans (SLIPs) were prepared by each ULB after assessing the service level gaps. These SLIPs were reviewed by State Mission Directorate in the presence of Director, MoUD during two days handholding workshop held on 10th & 11th August, 2015. After the review, city representatives were requested to resubmit SLIPs after discussions with stake holders. Based on previous SLIPs and as per AMRUT guidelines, city wise projects were prioritized and these were aggregated into the State Annual Action Plan (SAAP) after discussions with consultants of MoUD. The estimated cost of projects proposed for year 2015-16 under SAAP amounts to Rs. 920.00 Cr. excluding O & M cost. Director (AMRUT), MoUD appreciated the efforts made in preparation of SLIP and SAAP and mentioned that Rajasthan is 1st state to prepare

SAAP. He further mentioned that 10% of initial budget is kept for fulfillment of reforms for which consent of state is required.

It was decided that 10 year O & M of all components shall be made integral part of DPRs and bid documents of all projects under AMRUT. This O & M cost on actual basis will be borne by ULB/State through user charges or its own resources. Principal Secretary PHED mentioned that provision taken for water supply in proposed SAAP is inadequate. It was decided that SAAP prepared for 2015-16 may be considered for approval. However, while preparing SAAP for next financial year, due consideration shall be given for increase in provision for water supply, if required in order to fulfill objective of universal coverage by ensuring NRW reduction. After detailed deliberations on various points following decisions were taken:

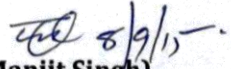
1. State Annual Action Plan (SAAP) prepared on the basis of Service Level Improvement Plans (SLIPs) submitted by ULBs of all 28 mission towns may be recommended to MoUD for approval. Pr. Secretary, LSGD Cum State Mission Director was authorized to submit SAAP to MoUD, GoI for consideration & their approval.
2. Funding pattern of GoI Share-GoR Share and ULB share was discussed in detail and following decisions were taken:-
 - i. Approved SAAP amount (Project Fund) shall be equally shared by GoI and GoR + ULB in equal proportion i.e. 50% each. It was decided that out of this 50%, GoR share shall be 30% & ULB share shall be 20%.
 - ii. Looking to poor financial condition, ULBs may take funds from RUDF/IL & FS fund/HUDCO/Municipal Bonds or any other source.
3. PDCOR Ltd (A Joint Venture of GoR and IL&FS) has been designated as PDMC for implementation of Mission, during entire Mission Period. Pr. Secretary, LSGD Cum State Mission Director was authorized to negotiate & settle fee, terms & conditions and no. of Experts/Units to be deployed, with PDCOR as per the state government guidelines and guidelines issued by MoUD, GoI.
4. Representative of Environment & Forest, UDH, PHED and Local Bodies present in the meeting agreed to implement all reforms with in time lines. State Mission Director was authorized to give undertaking on behalf of State Government for implementation of all 11 set of reforms within given time line.
5. State Level Technical Committee (SLTC) to be headed by Pr. Secretary, LSGD Cum State Mission Director was authorized to execute following activities on behalf of SHPSC.
 - i. Appraisal, approval & successful implementation of projects as approved SAAP by MoUD.
 - ii. Timely implementation of reforms. 11 set of reforms enumerated in Mission Statement & Guidelines are to be implemented by Govt. of Rajasthan, PHED and concerned ULB/Parastatals in time lines given in the

guidelines. The details of reforms and name of responsible department is given as under:

S. N.	Reforms	Concerned Departments	Present Status	Implementation time line
1.	E-Governance	LSGD	Work of Smart Raj allotted and work commenced in 28 cities	6 to 36 months
2.	Constitution and professionalization of municipal cadre	LSGD	Partially accomplished	12 to 36 months
3.	Augmenting double entry accounting	LSGD	Partially accomplished	12 to 24 months and every year for annual financial statement on website
4.	Urban Planning and City level Plans	LSGD, UDH and Environment & Forest Department	Partially accomplished	6 to 48 months and every year for development of one children park
5.	Devolution of funds and functions	LSGD and Finance Department	Partially accomplished	6 to 18 months
6.	Review of Building by-laws	LSGD & UDH	Partially accomplished	12 to 24 months
7.	Set-up financial intermediary at state level	LSGD	Yet to be accomplished	12 to 18 months
8(a).	Municipal tax and fees improvement	LSGD	Partially accomplished	12 months
8(b).	Improvement in levy and collection of user charges	LSGD and PHED. PHED will ensure NRW reduction and Revision of water tariff	Partially accomplished	12 months
9.	Credit Rating	LSGD	Yet to be accomplished	18 months
10.	Energy and Water audit	LSGD for Street light, Incentive for green building, Energy efficient STPs. PHED for Water audit, Energy efficient WTP and Pump houses	Yet to be accomplished	12 to 24 months
11.	Swachh Bharat Mission	LSGD	Under progress	36 months

6. It was decided that all implementing agencies shall sign MoA with LSGD for implementing reforms as per time lines given before release of 1st installment.
7. The allocation of Administrative and Other Expenses (A & OE) should be utilized for preparation of SLIP and SAAP, PDMC, Independent Review and Monitoring Agency (IRMA), Publication of News Letter, Capacity Building and Reform Implementation etc. as per guidelines of AMRUT.

The meeting ended with a vote of thanks.


(Dr. Manjit Singh)
Pr. Secretary, LSGD
Cum Mission Director

No. F16 (AMRUT-02)RUIFDCO/AMRUT/2015-16/4029-36

Date: 08.09.2015

Copy to the following for information and necessary action:-

1. Senior DS to Chief Secretary, Government of Rajasthan, Jaipur.
2. PS to Additional Chief Secretary, Environment & Forest Department, Government of Rajasthan, Jaipur.
3. PS to Additional Chief Secretary, UDH, Government of Rajasthan, Jaipur.
4. PS to Principal Secretary, PHED, Government of Rajasthan, Jaipur.
5. PS to Special Secretary, Finance Department, Government of Rajasthan, Jaipur.
6. PS to Principal Secretary, (LSGD) Cum State Mission Director, Government of Rajasthan, Jaipur.
7. Director (AMRUT), MoUD GoI, Nirman Bhawan, New Delhi-110011.
8. Director, Local Bodies Rajasthan, Government of Rajasthan, Jaipur.


Executive Director
RUIFDCO

ABSTRACT OF PROJECT FUND

Name of ULBs (Water supply and sewerage)	Water supply	Sewerage and Septage management	Drainage	Urban Transport	Others (Green Space, Parks, Innovative Projects & Lake Conservation)	Total
Bhiwadi	20.00	110.00	10.00	15.00	5.00	160.00
Beawar	14.00	110.00	15.00	10.00	5.00	154.00
Hanumangarh	10.00	5.00	15.00	20.00	5.00	55.00
Gangapur City	40.00	85.00	10.00	15.00	5.00	155.00
Hindaun City	35.00	105.00	10.00	5.00	5.00	160.00
Sujangarh	25.00	100.00	10.00	10.00	5.00	150.00
Bikaner	30.00	10.00	20.00	20.00	5.00	85.00
Jodhpur	25.00	108.00	20.00	25.00	5.00	183.00
Alwar	50.00	80.00	10.00	5.00	5.00	150.00
Bharatpur	30.00	90.00	20.00	10.00	5.00	155.00

Name of ULBs (Water supply and sewerage)	Water supply	Sewerage and Septage management	Drainage	Urban Transport	Others (Green Space, Parks, Innovative Projects & Lake Conservation)	Total
Sikar	40.00	110.00	10.00	5.00	5.00	170.00
Dhaulpur	30.00	10.00	20.00	10.00	5.00	75.00
Sawai Madhopur	40.00	10.00	15.00	15.00	5.00	85.00
Churu	45.00	80.00	15.00	20.00	5.00	165.00
Baran	50.00	85.00	15.00	5.00	5.00	160.00
Chittorgarh	40.00	80.00	15.00	10.00	5.00	150.00
Nagaur	50.00	60.00	10.00	10.00	5.00	135.00
Bundi	50.00	60.00	10.00	10.00	5.00	135.00
Bhilwara	25.00	10.00	20.00	25.00	5.00	85.00
Sriganganagar	0.00	10.00	20.00	20.00	5.00	55.00
Pali	0.00	55.00	20.00	20.00	5.00	100.00
Tonk	0.00	10.00	20.00	20.00	5.00	55.00

Name of ULBs (Water supply and sewerage)	Water supply	Sewerage and Septage management	Drainage	Urban Transport	Others (Green Space, Parks, Innovative Projects & Lake Conservation)	Total
Jhunjhunu	0.00	10.00	20.00	20.00	5.00	55.00
Kishangarh	20.00	100.00	20.00	10.00	5.00	155.00
Jaipur	50.00	110.00	50.00	20.00	10.00	240.00
Kota	25.00	15.00	25.00	25.00	5.00	95.00
Ajmer	30.00	60.00	10.00	15.00	5.00	120.00
Udaipur	30.00	85.00	5.00	25.00	5.00	150.00
Total Project Investment for 28 cities	804.00	1763.00	460.00	420.00	145.00	3592.00

AMRUT MISSION

AMRUT (Atal Mission of Rejuvenation & Urban Transformation) mission is initiated by Ministry of Urban Development, GoI on 25th June 2015 for next 5 Years i.e. upto March 2020. Five hundred (500) cities will be taken up having a population greater than one lakh (100,000). Under the mission MoUD, GoI will provide 50% of project fund while State & ULB will share rest 50%.

Earlier, the MoUD used to give project-by-project sanctions. In the AMRUT this has been replaced by approval of the State Annual Action Plan once a year by the MoUD and the States has to give project sanctions and approval at their end.

Thrust areas under mission:

- ✚ Water supply
- ✚ Sewerage facilities and Septage management,
- ✚ Storm water drains to reduce flooding,
- ✚ Urban Transport - pedestrian, non-motorized and public transport facilities, parking spaces
- ✚ Creating and upgrading green spaces, parks and recreation centers, especially for children.

URBAN SCENARIO IN RAJASTHAN

Rajasthan got its name as Rajputana (the land of the Rajputs) since the earliest Rajput dynasty emerged around 700 AD. Rajasthan in its present shape was formed in 1956. Rajasthan has been administratively divided into seven divisions with groups of districts that are in proximity to each other in each division. These are as follows:

1. **Ajmer Division** (Ajmer, Bhilwara, Tonk & Nagaur);
2. **Bharatpur Division** (Bharatpur, Karauli, Sawai Madhopur and Dhaulpur);
3. **Bikaner Division** (Bikaner, Churu, Hanumangarh and Ganganagar);
4. **Jaipur Division** (Alwar, Dausa, Jaipur, Sikar and Jhunjhunun);

5. **Jodhpur Division** (Jaisalmer, Jalor, Jodhpur, Pali, Barmer and Sirohi)
6. **Kota Division** (Baran, Bundi, Kota and Jhalawar)
7. **Udaipur Division** (Banswara, Chittaurgarh, Dungarpur, Rajsamand, Udaipur and Pratapgarh)

As per 2011 census, total population of Rajasthan was 68.54 million with about 25% of the population living in urban areas. Urban population growth in Rajasthan got an impetus after independence because of various economic development activities. The proportion of urban population from 1931 to 1961 was 14-16% of the total population and by 2011 it has increased to 24.9%.

Table 1: Class wise distribution of towns in Rajasthan, 2011

Towns	Population	No. of Towns - 2011		
		Statutory Towns	Census Towns	Total
Class I	1,00,000 and above	28	0	28
Class II	50,000 to 99,999	27	0	27
Class III	20,000 to 49,999	95	10	105
Class IV	10,000 to 19,999	33	47	80
Class V	5,000 to 9,999	2	45	47
Class VI	< 5,000	0	10	10
Total		185	112	297

Source: Census of India, 2011

As per 2011 census, there are no census towns in three districts only; these are Hanumangarh, Churu and Jaisalmer which are located in the western part of the state. It clearly shows that spatially, the growth of urban areas is skewed towards eastern Rajasthan.

Table 2: Decadal growth rate for Urban population India and Rajasthan

Year	India (Urban)	Rajasthan (Urban)
1971	38.28%	38.49 %
1981	46.28%	58.67 %
1991	35.29%	39.63 %
2001	32.57%	31.17 %
2011	31.80%	29.10

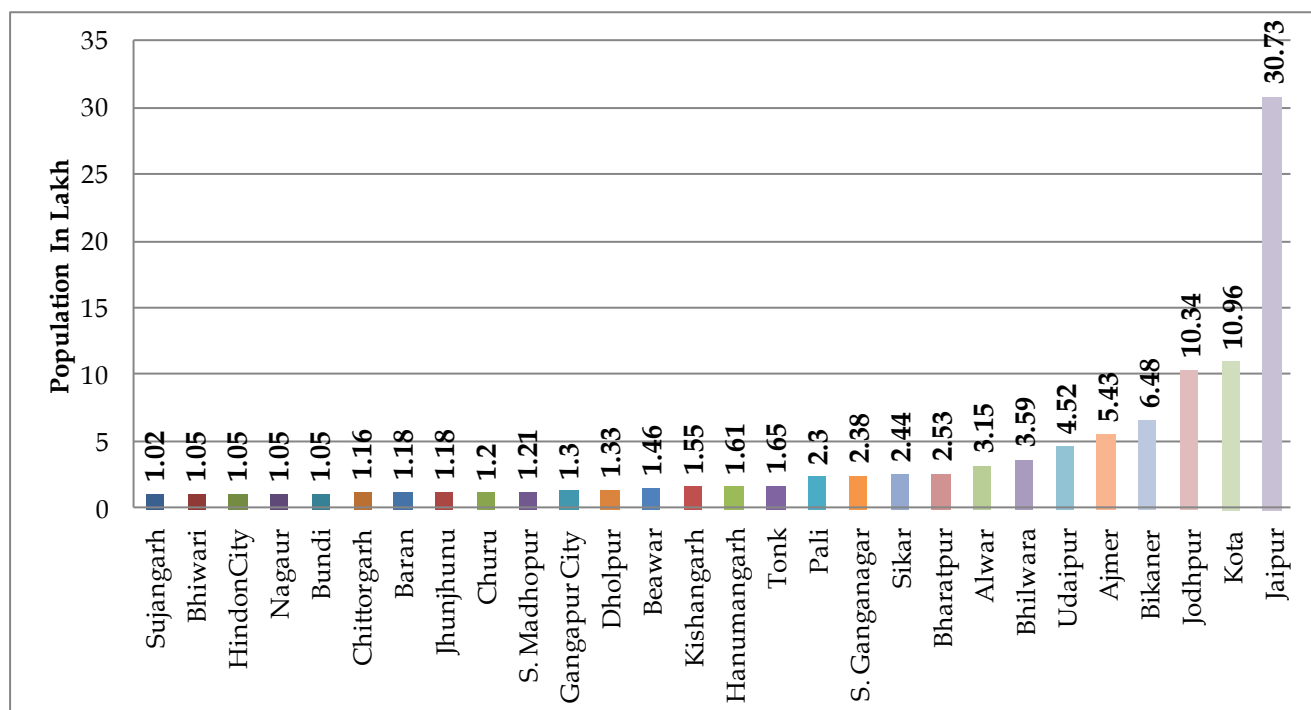
Source: Census of India (various years)

A comparison of the growth rates of the State with national data reveals that the trends at state level are no different from the trends at the national level.

Coverage under Mission for Rajasthan:-

Table 3: 28 Cities of Rajasthan

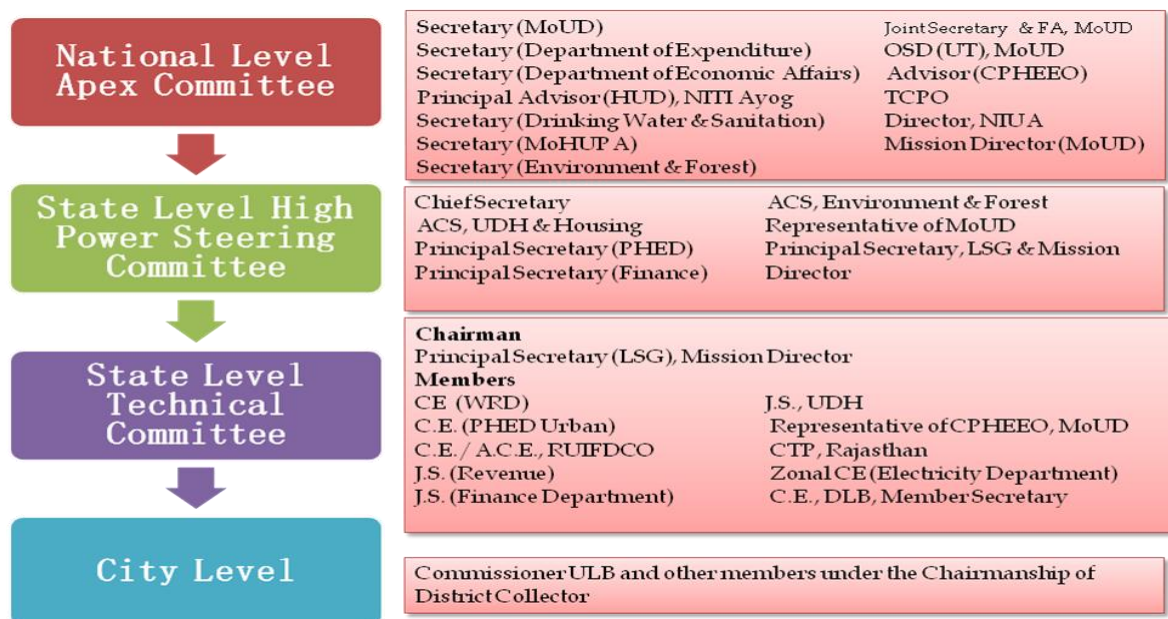
S.No.	City Name	S.No.	City Name	S.No.	City Name	S.No.	City Name
1	Hanumangarh	8	Bhilwara	15	Alwar	22	Hindaun City
2	S. Ganganagar	9	Kota	16	Chittorgarh	23	Sikar
3	Tonk	10	Pali	17	Beawar	24	Churu
4	Jhunjhunu	11	Ajmer	18	Gagnapur City	25	Baran
5	Dhaulpur	12	Nagaur	19	Bharatpur	26	Udaipur
6	Bikaner	13	Bundi	20	Kishangarh	27	Jodhpur
7	S.Madhampur	14	Sujangarh	21	Bhiwadi	28	Jaipur

Figure 1: Population of Mission Cities

Program Management Structure

City level projects have been identified after due consultations with various stakeholders and SLIPs. The identified projects are then put up to SLTC for approval. The SLIPs are aggregated to form SAAP. This SAAP have been submitted to SHPSC for their consideration. After detailed deliberations in SHPSC meeting dated 04.09.2015 considered the SAAP and decided to recommend the same for approval from the Apex Committee. Projects will be executed by ULBs

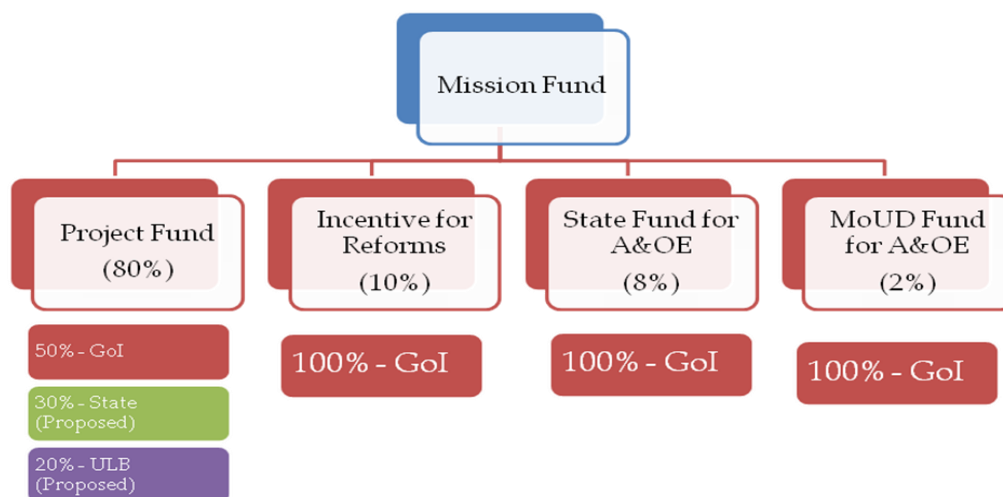
Figure 2: Program Management Structure



Funding Pattern

The fund sharing pattern as described in AMRUT guidelines have been followed:

Figure 3: Mission Fund Allocation



□ Fund will be released in three installments (20:40:40)

- 1st installment on approval of SAAP by the Apex Committee
- 2nd and 3rd installments on 75% utilization of previous released fund and meeting the ‘Service Level Benchmark’ as mentioned in the SAAP.

Table 4: Fund Sharing Pattern in Mission

Agency	Share Rs. In Cr.
Center	1707.94
State	1093.14
ULB/Parastatal	790.92
Grand Total	3592

Figure 4: Sharing Pattern

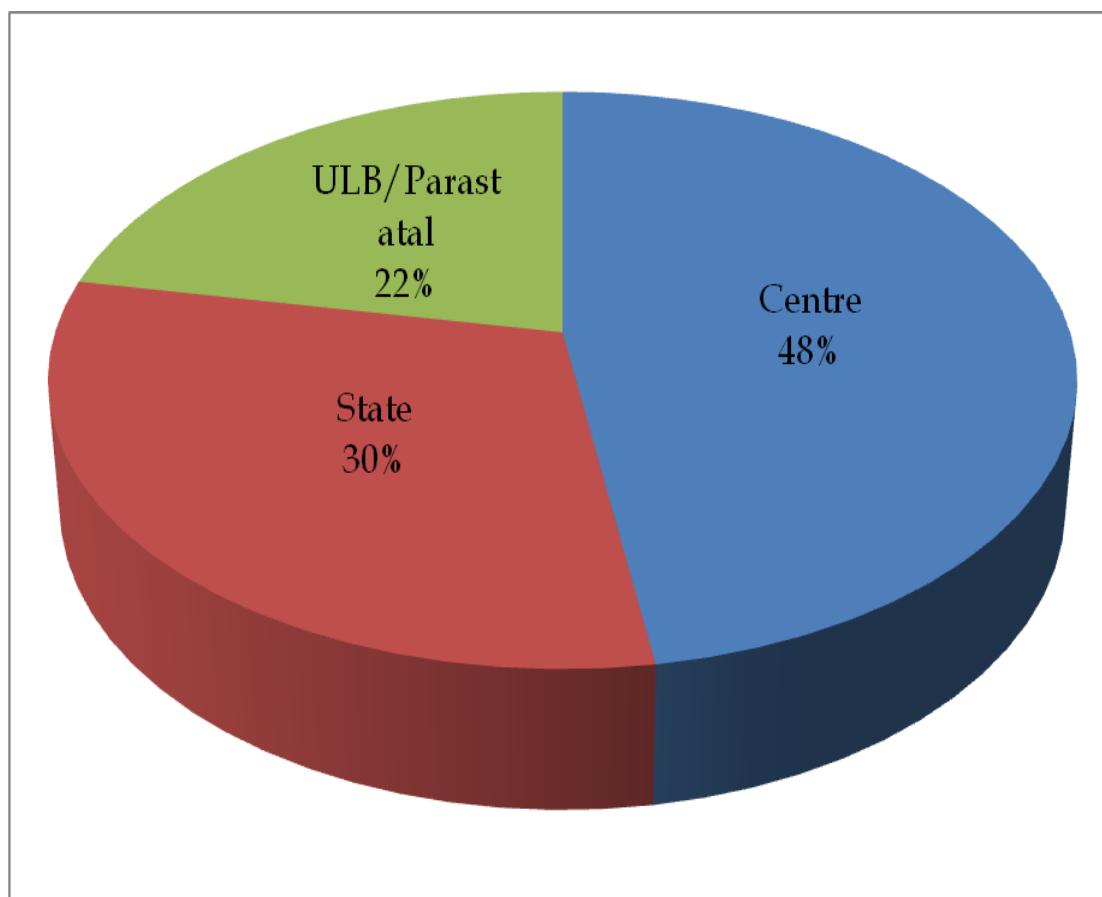
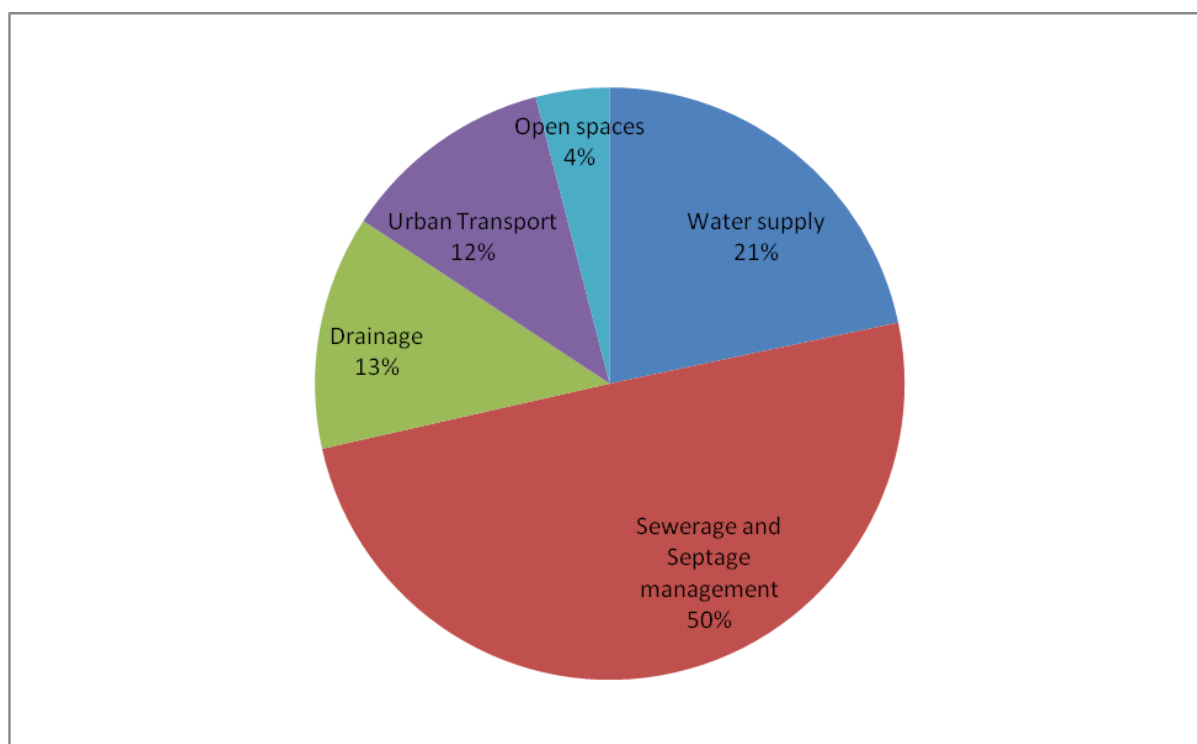


Table 5: Sector wise Project Fund Allocation

Sector	Amount (Rs. In Cr.)
Water supply	779
Sewerage and Septage management	1788
Drainage	460
Urban Transport	420
Open spaces	145
Total	3592*

Figure 5: Sector wise Allocation



STATE ANNUAL ACTION PLAN (SAAP)**Introduction:**

Project fund to ULBs through the States shall be provided on the basis of proposals submitted in State Annual Action Plan (SAAP). SAAP is basically a State level service improvement plan indicating the year-wise improvements in water-supply and sewerage connections to households. The basic building block for the SAAP is the SLIPs prepared by the ULBs. At the State level, the SLIPs of all Mission cities have been aggregated into the SAAP. While preparing SAAP information responding to the following questions, in words has been indicated against each question:

- **Has the State Government diagnosed service level gaps? (250 words)**

Yes, State govt. has diagnosed service level gaps for the State as per the information provided by respective ULBs and parastatal agencies. The SLIPs submitted by the ULBs were prepared after due consultations with various stakeholders including public representatives. Service level gaps at City level has been diagnosed and shown in SLIP.

- **Has the State planned for and financed capital expenditure? (350 words)**

Yes, State govt. has agreed to provide 30% matching share with Gol share against the Capital expenditure. Remaining fund shall be borne by the respective ULBs from their own resources or through financing from financial institutions i.e. HUDCO/ PMDO Fund managed by IL&FS /Municipal Bonds/other sources.

- **Has the State moved towards achievement of universal coverage in water supply and sewerage/septage? (350 words)**

Yes, State has assessed the gap in universal coverage i.e. water supply and sewerage/septage from inputs submitted by respective ULBs in their SLIPs. Many schemes are under implementation/in pipeline/committed/approved either from State fund or from Gol/ externally financed (ADB). The funds available under ongoing/committed projects have been considered and gap is worked out after ensuring the project requirement by converging with other schemes.

- **What is the expected level of the financial support from the Central Government and how well have State/ULB and other sources of finance been identified and accessed? (300 words)**

As per the mission guidelines Gol shall provide 50% assistance for the mission cities having population upto 10 lacs and 1/3rd assistance for mission cities having population above 10 lacs. State govt. respective ULBs will contribute through their own resources (State Share/SFC/TFC grants etc.) or through financing from financial institutions i.e. HUDCO/ PMDO Fund managed by IL&FS / Municipal Bonds. ULB share can also be contributed through MLA/MP led funds also or from special assistance from State.

- **How fairly and equitably have the needs of the ULBs been given due consideration? (300 words)**

The SLIPs submitted by the ULBs have been prepared after incorporating suggestions received from different stake holders in stakeholder's consultation meeting held under the chairmanship of Collector in presence of elected representatives'. Since the focus is on universal coverage of water supply and sewerage , therefore priority is given to these two sectors.

- **Have adequate consultations with all stakeholders been done, including citizens, local MPs and other public representatives? (350 words)**

Yes, adequate consultations with all stakeholders i.e. MPs, MLAs, Chairperson of ULBs, ward Parshad, Citizens & representative from various departments/parastatal (PHED, UDH, DTO, PWD, RUIDP etc.) have been made on various platforms at city level & State Level. Valuable suggestions/views given by stake holders have been incorporated during preparation & finalization of SLIP. Two day handholding workshop was organized in presence of MoUD officials and stakeholders on 10-11 August 2015 at Jaipur where need assessment, SLIP formation etc were discussed in detail. After the Stakeholder workshop, city managers/engineers were advised to discuss SLIP in Stakeholder meeting in presence of public representatives. After completing entire exercise, SLIPs prepared by city ULBs/ parastatal agencies were examined in presence of MoUD officials on 3.09- 4.09.2015 at Jaipur. After detail discussion SLIPs and SAPP were finalized.

Important steps followed for preparation of SAAP are mentioned below.

1. Principles of Prioritization

The State has identified project based on gap analysis and financial strength of ULBs and chose those ULBs in the first year that have higher gaps in water supply and sewerage sectors. While prioritizing projects, universal coverage of water supply and sewerage has been given top priority. In the towns, where water supply service level is sufficient, sewerage projects are considered. In the towns, where water supply level is low, there priority is given to water supply projects. In some towns, where water supply and sewer both service levels are low, in those towns, both water supply and sewerage projects are considered simultaneously. The analysis showing “Principle of Prioritization”, which has been adopted during prioritization of projects for current financial year is also enclosed at Annexure - I. Information responding to the following questions, in words, has been indicated against each question:

- **Has consultation with local MPs/ MLAs, Mayors and Commissioners of the concerned ULBs been carried out prior to allocation of funding? Please give details. (250 words)**

Yes, Local MPs/MLAs, Mayors and Commissioners of the concerned ULBs were consulted prior to allocation of funds to different sectors. The allocation of funds given in the SAAP is based on discussions held with MPs/MLAs, Mayors and Commissioners. As mentioned before, lot of consultation was carried out with ULBs and Parastatal agencies. Apart from discussions at State level with Mission Directorate, discussion was done with stakeholders in presence of MoUD officials also on two occasions.

- **Has financially weaker ULBs given priority for financing? If yes, how? (200 words)**

Yes, ULBs having poor financial positions have been given priority for allocation of funds such as Gangapur City, Churu, Hindaun City).

- **Is the ULB with a high proportion of urban poor has received higher share? If yes, how? (250 words)**

Yes, ULBs having high proportion of urban poor have been given priority for fund allocation, such as Jodhpur Rs. 183.00 Cr., Bharatpur Rs. 150.00 Cr., Kishangarh Rs. 155.00 Cr., Baran Rs. 160.00 Cr. and Chittorgarh Rs. 150.00 Cr.

- **Has the potential Smart cities been given preference? Please give details.(150 words)**

Yes, 4 cities namely Jaipur, Kota, Udaipur & Ajmer have been selected in Smart Cities Mission for preparation of smart city proposal. While preparing SLIP, it has been kept in consideration and infrastructure gaps in Smart Cities have been taken on priority; like in Jaipur and Udaipur focus is on sewerage works and where as in Kota focus is on other sectors.

- **How many times projects are proposed in SAAP of the Central Assistance (CA) allocated to the State during 2015-16? (100 words)**

State has proposed projects amounting 3 times of the Central Assistance allocated for the financial year 2015-16.

- **Has the allocation to different ULBs within State is consistent with the urban profile of the state? How? (250 words)**

Yes. The amount under various Gol, GoR and externally finance schemes such as JnNURM, UIDSSMT, ADB, AMRUT is consistent with urban profile of the state.

2. Importance of O&M

It has been observed that ULBs pay little attention to the operation and maintenance of infrastructure assets created after completion of projects. This tendency on the part of implementing agencies leads to shear loss off national assets. Information, in words, has been indicated against each question regarding importance given to O&M;

- **Has Projects being proposed in the SAAP includes O & M for at least five years? (100 words)**

Yes, Projects proposed in the SAAP includes O&M for 10 years. O&M shall be integral part of the original contract so that the agency/contractor who developed the assets shall be responsible for O&M of the same for 10 years period. This concept shall also insist agency/contractor for good quality work. The O&M cost shall be borne by the State/ULB through user charges. If there will be any gap in recovery of user charges, same shall be borne by ULB.

- **How O&M expenditures are proposed to be funded by ULBs/ parastatal? How? (250 words)**

O&M expenditures of the assets created are proposed to be funded through recovery of user charges, reduction in losses and other modes i.e. PPP, RWAs etc. If there will be any gap, the same shall be borne by ULB through its own resources/state support.

- **Is it by way of levy of user charges or other revenue streams? Please give details. (100 words)**

Though the focus of the state Govt. and ULB is to recover the O & M expenditure through user charges, however in some cases the gap of O & M expenditure will be borne by concerned ULB/State.

- **Has O&M cost been excluded from project cost for the purpose of funding? (100 words)**

Yes, O&M cost has been excluded from project cost for the purpose of funding and shall be borne by ULB through user charges. If there will be any gap in recovery of user charges, same shall be borne by ULB through its own resources.

- **What kind of model been proposed by States/ULBs to fund the O&M? Please discuss. (250 words)**

State has proposed to recover O & M by ULBs through imposing user charges. However user charges may not be sufficient to recover entire O

& M cost, for which innovative proposals like energy saving projects, reuse of treated waste, reduction in NRW and other losses have been considered. The gap if still remains, shall be filled through ULBs fund/State support

- **Is it through an appropriate cost recovery mechanism in order to make them self-reliant and cost-effective? How? (250 words)**

State has proposed to recover O & M by ULBs through imposing user charges. However user charges may not be sufficient to recover entire O & M cost, for which innovative proposals like energy saving projects, reuse of treated waste, reduction in NRW and other losses have been considered. The gap if still remains, shall be filled through ULBs fund/State support

3. Financing of Projects

Financing is an important element of the SAAP. Each state has been given the maximum share which will be given by the Central Government. Information responding to the following questions regarding financing of the projects proposed under AMRUT has been, indicated against each question:

- **How the residual financing (over and above Central Government share) is shared between the States, ULBs? (200 words)**

As per the mission guidelines Gol is providing 50% assistance for the mission cities having population upto 10 lacs and 1/3 assistance for mission cities having population above 10 lacs. State govt. will contribute 30% matching share from its own resources Remaining share shall be arranged by respective ULBs through their own resources (SFC/TFC grants etc.) or through financing from financial institutions like HUDCO/ PMDO Fund managed by IL&FS / Municipal Bonds. ULB share can be contributed through MLA/MP led funds also.

- **Has any other sources identified by the State/ULB (e.g. PPP, market borrowing)? Please discuss. (250 words)**

Yes. Alternate sources shall definitely be identified. At this stage exact details have not been worked out but looking to poor financial position

of ULBs and lack of internal resources , it is imperative that ULBs will arrange finance from financial institutions like HUDCO/ PMDO Fund managed by IL&FS / Municipal Bonds. ULB share can be contributed through MLA/MP led funds also.

- **What is the State contribution to the SAAP? (it should not be less than 20 percent of the total project cost, Para 7.4 of AMRUT Guidelines) (150 words)**

State has agreed to provide 30% matching share for cities.

- **Whether complete project cost is linked with revenue sources in SAAP? How? (250 words)**

It has been attempted but if there will be VGF, the same shall be arranged by the ULBs through their own resources or funding/loan through financial institutions.

- **Has projects been dovetailed with other sectoral and financial programme of the Centre and State Governments? (250 words)**

Yes, all possible dovetailing/convergence of ongoing/sanctioned projects under JnNURM, UIDSSMT, Smart City, ADB funded have been given due consideration during preparation of the SLIPs of the ULBs.

- **Is state planning to create a Financial Intermediary, in order to pool funds from all sources and release funds to ULBs in time? Please provide details. (100 words)**

Yes, during earlier mission State has constituted RUIFDCO whose mandate is to provide funds for ULBs for VGF from various sources. State govt. has notified RUIFDCO as state level nodal agency for AMRUT and as such RUIFDCO shall act as financial intermediately.

- **Has States/UTs explored the possibility of using Public Private Partnerships (PPP), as a preferred execution model? Please discuss. (300 words)**

PPP is seriously under consideration and shall be detailed out during DPR preparation. All the assets created will be under Operation and maintenance of 10 years period for which provision will be kept in the bidding document. The work shall be awarded to the lowest bidder who will have to be maintain and operate the created asset, for which O& M charges shall be borne by the ULB. Treated sewerage effluent, will be the property of ULB which shall be auctioned/sold for agriculture/industrial use as per the local demand. Proposal for reuse of treated effluent shall be prepared at the time of DPR preparation,

- **Are PPP options included appropriate Service Level Agreements (SLAs) which may lead to the People Public Private Partnership (PPPP) model? How? (300 words)**

PPP is seriously under consideration and shall be detailed out during DPR preparation. While preparing DPR focus will not be only asset creation but on actual service delivery. Performance based output and payment shall be attempted with the objective of achieving desired service levels.

Table 1.1: Breakup of Total MoUD Allocation in AMRUT (Amount in Cr.)

Name of State: Rajasthan

FY 2015-16

Total Central funds allocated to State	Allocation of Central funds for A&OE (@8% of Total Given in column1 X 2)	Allocation of funds for AMRUT (Central share)	Multiply col. 3 by *3 for AMRUT on col. 4 (project proposal to be three-times the annual allocation-CA)	Add equal (col.4) State/ULB share	Total AMRUT annual size (cols. 2+3+4+5)
1	2	3	4	5	6
167.00	14.26	153	460	460	934.26

Table 1.2.1: Abstract-Sector Wise Proposed Total Project Fund and Sharing Pattern**(Amount in Cr.)**

Sl. No	Sector	Centre	State	ULB	Convergence	Others	Total
1	Water Supply	402	241.2	160.8	0	0	804
2	Sewerage and Septage Management	881.5	528.9	352.6	0	0	1763
3	Drainage	230	138	92	0	0	460
4	Urban Transport	210	126	84	0	0	420
5	Others	72.5	43.5	29	0	0	145
6	Grand Total	1796	1077.6	718.4	0	0	3592

FY 2015-16
(Amount in Cr.)

Sl. No	Sector	No. of Projects	Centre	State	ULB	Convergence	Others	Total
1	Water Supply	10	172	103.2	68.8	0	0	344
2	Sewerage and Septage Management	06	277.5	166.5	111	0	0	555
3	Drainage	0	0	0	0	0	0	0
4	Urban Transport	0	0	0	0	0	0	0
5	Others	28	10	6	4	0	0	20
6	Grand Total	44	459.5	275.7	183.8	0	0	919

Table 3.1: SAAP- Master Plan of all projects to achieve universal coverage during the current Mission period based on Table 2.1 (FY 2015-16 to 2019-20)

S. No.	Name of ULBs (Water supply and sewerage)	Total number of projects to achieve universal coverage	Estimated cost (in cr.)	Number of years to achieve universal coverage
1	2	3	4	5
1	Bhiwadi	2	130.00	4
2	Beawar	2	124.00	4
3	Hanumangarh	2	15.00	4
4	Gangapur City	2	125.00	4
5	Hindaun City	2	140.00	4
6	Sujangarh	2	125.00	4
7	Bikaner	2	40.00	4
8	Jodhpur	2	133.00	4
9	Alwar	2	130.00	4
10	Bharatpur	2	120.00	4

S. No.	Name of ULBs (Water supply and sewerage)	Total number of projects to achieve universal coverage	Estimated cost (in cr.)	Number of years to achieve universal coverage
11	Sikar	2	150.00	4
12	Dhaulpur	2	40.00	4
13	Sawai Madhopur	2	50.00	4
14	Churu	2	125.00	4
15	Baran	2	135.00	4
16	Chittorgarh	2	120.00	4
17	Ngaur	2	110.00	4
18	Bundi	2	110.00	4
19	Bhilwara	2	35.00	4
20	Sriganganagar	1	10.00	4
21	Pali	1	55.00	4
22	Tonk	1	10.00	4

S. No.	Name of ULBs (Water supply and sewerage)	Total number of projects to achieve universal coverage	Estimated cost (in cr.)	Number of years to achieve universal coverage
23	Jhunjhunu	1	10.00	4
24	Kishangarh	2	120.00	4
25	Jaipur	2	160.00	4
26	Kota	2	40.00	4
27	Ajmer	2	90.00	4
28	Udaipur	2	115.00	4
	Total	55	2567	

Table 3.2: SAAP- Sector wise Breakup of consolidated investments for all ULBs in the State

Name of ULBs (Water supply and sewerage)	Water supply	Sewerage and septage management	Drainage	Urban Transport	Others (Green Space, Parks, Innovative Projects & Lake Conservation)	Reforms Incentive	Grand Total
1	2	3	4	5	6	7	8
Bhiwadi	20.00	110.00	10.00	15.00	5.00	20.00	180.00
Beawar	14.00	110.00	15.00	10.00	5.00	19.25	173.25
Hanumangarh	10.00	5.00	15.00	20.00	5.00	6.88	61.88
Gangapur City	40.00	85.00	10.00	15.00	5.00	19.38	174.38
Hindaun City	35.00	105.00	10.00	5.00	5.00	20.00	180.00
Sujangarh	25.00	100.00	10.00	10.00	5.00	18.75	168.75
Bikaner	30.00	10.00	20.00	20.00	5.00	10.63	95.63
Jodhpur	25.00	108.00	20.00	25.00	5.00	22.88	205.88

Name of ULBs (Water supply and sewerage)	Water supply	Sewerage and septage management	Drainage	Urban Transport	Others (Green Space, Parks, Innovative Projects & Lake Conservation)	Reforms Incentive	Grand Total
Alwar	50.00	80.00	10.00	5.00	5.00	18.75	168.75
Bharatpur	30.00	90.00	20.00	10.00	5.00	19.38	174.38
Sikar	40.00	110.00	10.00	5.00	5.00	21.25	191.25
Dhaulpur	30.00	10.00	20.00	10.00	5.00	9.38	84.38
Sawai Madhopur	40.00	10.00	15.00	15.00	5.00	10.63	95.63
Churu	45.00	80.00	15.00	20.00	5.00	20.63	185.63
Baran	50.00	85.00	15.00	5.00	5.00	20.00	180.00
Chittorgarh	40.00	80.00	15.00	10.00	5.00	18.75	168.75
Nagaur	50.00	60.00	10.00	10.00	5.00	16.88	151.88
Bundi	50.00	60.00	10.00	10.00	5.00	16.88	151.88

Name of ULBs (Water supply and sewerage)	Water supply	Sewerage and septage management	Drainage	Urban Transport	Others (Green Space, Parks, Innovative Projects & Lake Conservation)	Reforms Incentive	Grand Total
Bhilwara	25.00	10.00	20.00	25.00	5.00	10.63	95.63
Sriganganagar	0.00	10.00	20.00	20.00	5.00	6.88	61.88
Pali	0.00	55.00	20.00	20.00	5.00	12.50	112.50
Tonk	0.00	10.00	20.00	20.00	5.00	6.88	61.88
Jhunjhunu	0.00	10.00	20.00	20.00	5.00	6.88	61.88
Kishangarh	20.00	100.00	20.00	10.00	5.00	19.38	174.38
Jaipur	50.00	110.00	50.00	20.00	10.00	30.00	270.00
Kota	25.00	15.00	25.00	25.00	5.00	11.88	106.88
Ajmer	30.00	60.00	10.00	15.00	5.00	15.00	135.00
Udaipur	30.00	85.00	5.00	25.00	5.00	18.75	168.75

Name of ULBs (Water supply and sewerage)	Water supply	Sewerage and septage management	Drainage	Urban Transport	Others (Green Space, Parks, Innovative Projects & Lake Conservation)	Reforms Incentive	Grand Total	
Total Project Investment for 28 cities	804.00	1763.00	460.00	420.00	145.00	449.00	4041.00	
							A&OE @ 8%	359.20
							Grand Total	4400.20

Table 3.3: SAAP - ULB Wise Source of Funds for All Sectors

Name of City	Centre	State			ULB/Parastatal/PHED			Total
		14th FC	Others	Total	14th FC	Others	Total	
	50%			30%			20%	
Bhiwadi	80.00	-	48.00	48.00	8.16	23.84	32.00	160.00
Beawar	77.00	-	46.20	46.20	14.58	16.22	30.80	154.00
Hanumangarh	27.50	-	16.50	16.50	11.00	0.00	11.00	55.00
Gangapur City	77.50	-	46.50	46.50	9.16	21.85	31.00	155.00
Hindaun City	80.00	-	48.00	48.00	8.53	23.47	32.00	160.00
Sujangarh	75.00	-	45.00	45.00	7.67	22.33	30.00	150.00
Bikaner	42.50	-	25.50	25.50	17.00	0.00	17.00	85.00
Jodhpur*	61.00	-	61.00	61.00	36.60	24.40	61.00	183.00
Alwar	75.00	-	45.00	45.00	26.03	3.97	30.00	150.00
Bharatpur	77.50	-	46.50	46.50	20.32	10.68	31.00	155.00

Name of City	Centre	State			ULB/Parastatal/PHED			Total
		14th FC	Others	Total	14th FC	Others	Total	
Sikar	85.00	-	51.00	51.00	20.64	13.36	34.00	170.00
Dhaulpur	37.50	-	22.50	22.50	10.63	4.37	15.00	75.00
Sawai Madhopur	42.50	-	25.50	25.50	10.43	6.57	17.00	85.00
Churu	82.50	-	49.50	49.50	10.27	22.73	33.00	165.00
Baran	80.00	-	48.00	48.00	10.54	21.46	32.00	160.00
Chittorgarh	75.00	-	45.00	45.00	12.39	17.61	30.00	150.00
Ngaur	67.50	-	40.50	40.50	9.47	17.53	27.00	135.00
Bundi	67.50	-	40.50	40.50	9.69	17.31	27.00	135.00
Bhilwara	42.50	-	25.50	25.50	17.00	0.00	17.00	85.00
Sriganganagar	27.50	-	16.50	16.50	11.00	0.00	11.00	55.00
Pali	50.00	-	30.00	30.00	20.00	0.00	20.00	100.00
Tonk	27.50	-	16.50	16.50	11.00	0.00	11.00	55.00

Name of City	Centre	State			ULB/Parastatal/PHED			Total
		14th FC	Others	Total	14th FC	Others	Total	
Jhunjhunu	27.50	-	16.50	16.50	11.00	0.00	11.00	55.00
Kishangarh	77.50	-	46.50	46.50	15.51	15.50	31.00	155.00
Jaipur*	80.00	-	80.00	80.00	48.00	32.00	80.00	240.00
Kota*	31.50	-	31.50	31.50	19.00	13.00	32.00	95.00
Ajmer	60.00	-	36.00	36.00	24.00	0.00	24.00	120.00
Udaipur	75.00	-	45.00	45.00	33.00	-3.00	30.00	150.00
Grand Total	1709.50			1094.70	462.62	325.18	787.80	3592.00

*Gol share is 1/3rd of & remaining will be borne by GoR+ ULB

Table 3.5: SAAP - State Level Plan for Achieving Service Level Benchmarks

Proposed Priority Projects	Total Project Cost	Indicator	Baseline	Annual Targets (Increment from the Baseline value)					
				FY 2016		FY - 2017	FY - 2018	FY - 2019	FY - 2020
				H1	H2				
Water Supply									
i	804	House hold level coverage of water supply connections	69.20	3.00	12.00	15.00			
ii		Per capita quantum of water supplied in lpcd	92.40				43.00		
iii		Quality of water supplied	84.40				16.00		
Sewerage and Septage management									
i	1763	Coverage of latrines (individual or community)	88.10	12.00					
ii		Coverage of Sewerage Network services	10.40		5.00	0.00	80.00		
iii		Efficiency of collection of sewage	8.90		5.00	0.00	80.00		
iv		Efficiency in treatment	10.10				90.00		
Drainage									

Proposed Priority Projects	Total Project Cost	Indicator	Baseline	Annual Targets (Increment from the Baseline value)					
				FY 2016		FY - 2017	FY - 2018	FY - 2019	FY - 2020
				H1	H2				
1	460	Coverage of storm drainage network	29.10	12.00	18.00	30.00			
Urban Transportation									
1	420	Service coverage of urban transport in the city		These indicators are proposed for motorized transportation. Whereas mission emphasize on Non motorized transportation.					
2		Availability of Urban transport per 1000 population							

Table 3.6: SAAP - State Level Plan of Action for Physical & Financial Progress

State Rajasthan				Sector - Water Supply			
Name of City	Performance Indicator	Baseline as on Date	Mission Target	For the Financial Year 2015-16			
				For Half Year 1		For Half Year 2	
				Physical Progress to be achieved	Funds to be utilized	Physical Progress to be achieved (Service Level indicator will be achieved as)	Funds to be utilized (Rs. in Cr.)
Bhiwari	House hold level coverage of water supply connections	75%	100%	-	-	80%	10
Beawar	House hold level coverage of water supply connections	73.20%	100%	-	-	76.20%	10
Gangapur City	House hold level coverage of water supply connections	48%	100%	-	-	48%	10
Sujangarh	House hold level coverage of water supply connections	71%	100%	-	-	74%	10

Name of City	Performance Indicator	Baseline as on Date	Mission Target	For the Financial Year 2015-16			
				For Half Year 1		For Half Year 2	
				Physical Progress to be achieved	Funds to be utilized	Physical Progress to be achieved (Service Level indicator will be achieved as)	Funds to be utilized (Rs. in Cr.)
Alwar	House hold level coverage of water supply connections	67.50%	100%	-	-	70%	10
Dhaulpur	House hold level coverage of water supply connections	53.80%	100%	-	-	58%	10
Baran	House hold level coverage of water supply connections	65%	100%	-	-	78%	10
Nagaur	House hold level coverage of water supply connections	71%	100%	-	-	35.50%	10
Bhilwara	House hold level coverage of water	72.80%	100%	-	-	76%	10

Name of City	Performance Indicator	Baseline as on Date	Mission Target	For the Financial Year 2015-16			
				For Half Year 1		For Half Year 2	
				Physical Progress to be achieved	Funds to be utilized	Physical Progress to be achieved (Service Level indicator will be achieved as)	Funds to be utilized (Rs. in Cr.)
	supply connections						
Chittorgarh	House hold level coverage of water supply connections	61.30%	80%	-	-	66%	10

Table 3.6: SAAP - State Level Plan of Action for Physical & Financial Progress

State: Rajasthan

Sector - Sewerage & Septage

Name of City	Performance Indicator	Baseline as on Date	Mission Target	For the Financial Year 2015-16			
				For Half Year 1		For Half Year 2	
				Physical Progress to be achieved	Funds to be utilized	Physical Progress to be achieved (Service Level indicator will be achieved as)	Funds to be utilized (Rs. In Cr.)
Bhiwari	Coverage of latrines (individual or community)	75.00%	100%	-	-	100%	Nil (Already converged with SBM & TFC funds)
	Coverage of Sewerage Network services	23.41%	100%	-	-	0%	10
Beawar	Coverage of latrines (individual or community)	99%	100%	-	-	100%	Nil (Already converged with SBM & TFC funds)
	Coverage of	0%	35%	-	-	0%	10

Name of City	Performance Indicator	Baseline as on Date	Mission Target	For the Financial Year 2015-16			
				For Half Year 1		For Half Year 2	
				Physical Progress to be achieved	Funds to be utilized	Physical Progress to be achieved (Service Level indicator will be achieved as)	Funds to be utilized (Rs. In Cr.)
Sikar	Sewerage Network services						
	Coverage of latrines (individual or community)	91.64%	100%	-	-	100%	Nil (Already converged with SBM & TFC funds)
Alwar	Coverage of Sewerage Network services	0%	33%	-	-	0%	10
	Coverage of latrines (individual or community)	68.52%	100%	-	-	100%	Nil (Already converged with SBM & TFC funds)
	Coverage of Sewerage Network	50%	80%	-	-	14.73%	10

Name of City	Performance Indicator	Baseline as on Date	Mission Target	For the Financial Year 2015-16			
				For Half Year 1		For Half Year 2	
				Physical Progress to be achieved	Funds to be utilized	Physical Progress to be achieved (Service Level indicator will be achieved as)	Funds to be utilized (Rs. In Cr.)
Udaipur	services						
	Coverage of latrines (individual or community)	95.35%	100%	-	-	100%	Nil (Already converged with SBM & TFC funds)
Nagaur	Coverage of Sewerage Network services	45%	38%	-	-	0%	2
	Coverage of latrines (individual or community)	85.22%	100%	-	-	100%	Nil (Already converged with SBM & TFC funds)
	Coverage of Sewerage Network services	50%	100%	-	-	0%	10

Table 4: SAAP - Broad Proposed Allocations for Administrative and Other Expenses

State: Rajasthan

FY: 2015-16 to 2019-20

Sr. No	Items proposed for A&OE	Total Allocation	Committed Expenditure from previous year (if any)	Proposed spending for Current Financial year	Balance to Carry Forward			
					FY 2017	FY 2018	FY 2019	FY 2020
1	Preparation of SLIP and SAAP and DPR preparation	44.90	-	4.49	15.72	15.72	4.49	4.49
2	PDMC	67.35	-	7.48	14.97	14.97	14.97	14.97
3	Procuring Third Party Independent Review and Monitoring Agency	6.25	-	0.50	1.25	1.75	1.75	1.00
5	Publications (e-Newsletter, guidelines, brochures, IEC activities etc.)	38.65	-	4.00	9.00	9.00	9.00	7.65
6	Capacity Building and	61.76	-	6.76	15.00	15.00	12.50	12.50

Sr. No	Items proposed for A&OE	Total Allocation	Committed Expenditure from previous year (if any)	Proposed spending for Current Financial year	Balance to Carry Forward			
					FY 2017	FY 2018	FY 2019	FY 2020
	Training							
	CCBP, if applicable	19.30	-	2.14	4.29	4.29	4.29	4.29
	Others (workshops & seminars)	8.75	-	1.00	2.00	2.00	2.00	1.75
7	Reform implementation	89.8	-	15.00	20.00	20.00	20.00	14.80
8	Others (SLNA charges)	22.45	-	2.45	5.00	5.00	5.00	5.00
	Total	359.205	-	43.82	87.22	87.72	74.00	66.45

Table 7.1 ULB level Individual Capacity Development Plan to be sent by ULB to State Government**Form 7.1.1 Physical****State: Rajasthan**

S. No	Name of the department/ Position	Total number of functionaries (officials/elected representatives) identified at start of Mission (2015)	Numbers trained during last FY(s)	Numbers to be trained during the current FY	Name(s) of Training Institute for training during the current FY	Cumulative numbers trained after completion of current FY.
1	Elected Representatives	5321		703	RILSG & CMAR, HCM RIPA (OTS)	
2	Finance Department	237		100	HCM RIPA (OTS)	
3	Engineering Department	851		273	Engineering Staff Training Institute, HCM RIPA (OTS)	
4	Town planning Deptt.	40		20	HCM RIPA (OTS)	
5	Administration Department	186		34	HCM RIPA (OTS)	
	Total	6635		1130		

Form 7.1.2 Financial

Name of State: Rajasthan

FY: 2015-16

S.No	Name of the department	Cumulative funds released upto current FY	Total expenditure upto current FY	Unspent funds available from earlier releases	Funds required for the current FY to train the number given in Form 1
1	Elected Representatives				2.76
2	Finance Department				1.00
3	Engineering Department				1.00
4	Town planning Department				1.00
5	Administration Department				1.00
	Total				6.76

Table 7.2 Annual Action Plan for Capacity Building

State: Rajasthan

FY: 2015-16

Form 7.2.1 Fund requirement for Individual Capacity Building at ULB level

S. No	Name of the ULB	Total numbers to be trained in the current FY department wise					Name of the training institution (s) identified	Number of training programmes to be conducted	Funds required in current FY	
		Elected Representative	Finance Dept.	Engineering Dept.	Town planning Dept.	Administration Dept.				Total
1	Ajmer	30	6	16		1	52	HCM RIPA	2	34.66
2	Kishangarh	23	3	3		1	30	(OTS),	1	17.33
3	Beawar	23	3	2		1	29	RILSG,	1	17.33
4	Alwar	25	4	5		1	35	CMAR,	1	17.33
5	Bhiwari	23	1	2		1	26	Engineering	1	17.33
6	Bharatpur	25	4	6		1	36	Staff Training	1	17.33
7	Bhilwara	28	4	12		1	44	Institute,	2	34.66
8	Bikaner	30	6	9		1	46	Jaipur	2	34.66
9	Bundi	23	2	4		1	29		1	17.33

S. No	Name of the ULB	Total numbers to be trained in the current FY department wise					Name of the training institution (s) identified	Number of training programmes to be conducted	Funds required in current FY
		Elected Representative	Finance Dept.	Engineering Dept.	Town planning Dept.	Administration Dept.			
10	Chittorgarh	23	3	7		1		1	17.33
11	Churu	23	3	3		1		1	17.33
12	Sujargarh	23	1	1		1		1	17.33
13	Dholpur	23	2	3		1		1	17.33
14	Dungarpur	15	1	3		1		1	17.33
15	Ganganagar	25	4	5		1		1	17.33
16	Hanumangarh	23	4	6		1		1	17.33
17	Jaipur	46	20	78		6		4	69.32
18	Jhunjhunu	23	3	3		1		1	17.33
19	Jodhpur	33	6	34		2		3	51.99
20	Hindaun	23	1	2		1		1	17.33

S. No	Name of the ULB	Total numbers to be trained in the current FY department wise					Name of the training institution (s) identified	Number of training programmes to be conducted	Funds required in current FY
		Elected Representative	Finance Dept.	Engineering Dept.	Town planning Dept.	Administration Dept.			
21	Kota	33	6	31		1	70	3	51.99
22	Nagour	23	2	3		1	28	1	17.33
23	Pali	25	4	10		1	39	1	17.33
24	Gangapur City	23	1	2		1	26	1	17.33
25	S.Madhapur	23	3	3		1	29	1	17.33
26	Sikar	25	3	6		1	35	1	17.33
27	Tonk	23	3	3		1	30	1	17.33
28	Udaipur	28	4	19		1	51	2	34.66
	Total	703	100	273	20	34	1130	39	676

Form 7.2.2 Fund requirement for State level activities

S.No	State level activity	Cumulative funds released upto current FY	Total expenditure upto current FY	Unspent funds available from earlier releases	Funds required for the current FY
1	RPMC (CCMU) and SMMU Institutional				2.14
2	UMC				
3	Others (e.g. workshops, seminars, etc), which are approved by NIUA				1
Total					3.14

Form 7.2.3 Total fund requirement for Capacity Building

S.No	Funds requirements	Individual	Institutional & SMMU & CMMU	Others	Total
1	Total release since start of Mission (2015)				
2	Total utilized - Centre share				
3	Balance available- Centre share				
4	Amount required - Centre share				
5	Total funds required for capacity building in current FY	6.76	2.14	1.00	9.9

Table 7.4: Quarterly Score Cards for States Financial and physical Progress on Capacity Building (State Level)

Total number of ULBs: 187

Quarter ending _____

Number of ULBs above/below proportionate target (from Table 7.3)	Name of the department/position	Physical		Financial		Total number trained, if relevant, upto quarter _____	Total funds utilized upto quarter _____
		Total Target in FY	Proportionate target upto quarter _____	Funds allocated in current FY	Proportionate target upto quarter _____		
	Individual training	1130					
Above _____	Institutional Capacity Building	28					
Below _____	RPMC and UMC						
	Other - specify						
	Other - specify						

Table 5.1: SAAP - Reforms Type, Steps and Target for AMRUT Cities FY - 2015 - 16

S. No	Type	Milestones	Implementation timeline	Target to be set by States in SAAP		Remark (Present Status)
				October 2015 to March 2016	April 2016 to Sep 2016	
1	E-Governance	Digital ULBs				Work order issued for Smart Raj Project & work commenced in 28 mission cities. To be accomplished in prescribed timeline.
		1. Creation of ULB website.	6 months	Yes		
		2. Publication of e-newsletter Digital India Initiatives	6 months	Yes		
		3. Support Digital India (ducting to be done on PPP mode or by the ULB itself).	6 months	Yes		
2	Constitution and professionalization of municipal cadre	1. Policy for engagement of interns in ULBs and implementation.	12 months		Yes	Partially accomplished & to be fully achieved within prescribed timeline.
3	Augmenting double entry	1. Complete migration to double entry accounting system and	12 months		Yes	Partially accomplished & to

S. No	Type	Milestones	Implementation timeline	Target to be set by States in SAAP		Remark (Present Status)
				October 2015 to March 2016	April 2016 to Sep 2016	
	accounting	obtaining an audit certificate to the effect from FY2012-13 onwards.				be fully achieved within prescribed timeline.
		2.Publication of annual financial statement on website.	Every year		Yes	
4	Urban Planning and City level Plans	1.Preparation of Service Level Improvement Plans (SLIP), State Annual Action Plans (SAAP).	6 months	Yes		SLIP & Tentative SAAP prepared
		2.Make action plan to progressively increase Green cover in cities to 15% in 5 years.	6 months	Yes		To be prepared
		5.Develop at least one Children Park every year in AMRUT cities.	Every Year	Yes		Provision taken in SLIP
		6.Establish a system for maintaining of parks, playground	12 months		Yes	To be established

S. No	Type	Milestones	Implementation timeline	Target to be set by States in SAAP		Remark (Present Status)
				October 2015 to March 2016	April 2016 to Sep 2016	
		and recreational areas relying on People Public Private Partnership (PPPP) model.				
5	Devolution of funds and functions	1.Ensure transfer of 14th FC devolution to ULBs.	6 months	Yes		Accomplished
		2.Appointment of State Finance Commission (SFC) and making decisions.	12 months		Yes	
		4.Transfer of all 18 functions to ULBs.	12 months		Yes	
6	Review of Building by-laws	1. Revision of building bye laws periodically.	12 months		Yes	Accomplished
		4.Create single window clearance for all approvals to give building permissions.	12 months		Yes	To be accomplished

S. No	Type	Milestones	Implementation timeline	Target to be set by States in SAAP		Remark (Present Status)
				October 2015 to March 2016	April 2016 to Sep 2016	
7 (a)	Municipal tax and fees improvement	<p>1. At least 90% coverage,</p> <p>2. At least 90% collection,</p> <p>3. Make a policy to, periodically revise property tax, levy charges and other fees,</p> <p>4. Post Demand Collection Book (DCB) of tax details on the website,</p> <p>5. Achieve full potential of advertisement revenue by making a policy for destination specific potential having dynamic pricing module.</p>	12 months		Yes	To be Accomplished within prescribed timeline. Pt. 1 & 2. Partially accomplished through UD tax but coverage & collection to be achieved as per milestone. Pt. 3 to 5 to be achieved in prescribed timeline
7 (b)	Improvement in levy and	1. Adopt a policy on user charges for individual and institutional	12 months		Yes	To be accomplished as

S. No	Type	Milestones	Implementation timeline	Target to be set by States in SAAP		Remark (Present Status)
				October 2015 to March 2016	April 2016 to Sep 2016	
	collection of user charges	<p>assessments in which a differential rate is charged for water use and adequate safeguards are included to take care of the interests of the vulnerable,</p> <p>2.Make action plan to reduce water losses to less than 20 % and publish on the website,</p> <p>3.Separate accounts for user charges,</p> <p>4.Atleast 90% billing</p> <p>5.Atleast 90% collection.</p>				per milestones within prescribed timeline by PHED department
8	Energy and	1.Energy (Street lights) and Water Audit (including non-	12 months		Yes	To be accomplished

S. No	Type	Milestones	Implementation timeline	Target to be set by States in SAAP		Remark (Present Status)
				October 2015 to March 2016	April 2016 to Sep 2016	
	Water audit	<p>revenue water or losses audit),</p> <p>2.Making STPs and WTPs more energy efficient,</p> <p>3.Optimize energy consumption in street lights by using energy efficient lights and increasing reliance on renewable energy,</p>				<p>within prescribed timeline by respective departments (For water supply by PHED & for energy ULB/UDH). Pt. 3 An MoA executed (87 ULBs)/ being executed (for remaining) with EESL for use of LED lights in all cities</p>

Table 5.2: SAAP - Reforms Type, Steps and Target for AMRUT Cities FY - 2016 - 17

S. No	Type	Milestones	Implementation timeline	Target to be set by states in SAAP				Present Status/ Issues, If Any
				Oct., 2015 to Mar., 2016	April to Sept., 2016	Oct., 2016 to Mar., 2017	April to Sept., 2017	
1	E-Governance	Coverage with E-MAAS (from the date of hosting the software) Registration of Birth, Death and Marriage, Water & Sewerage Charges, Grievance Redressal, Property Tax, Advertisement tax, Issuance of Licenses, Building Permissions, Mutations, Payroll,	24 months				Yes	Work order issued for Smart Raj Project & work commenced in 28 mission cities. To be accomplished in prescribed timeline.

S. No	Type	Milestones	Implementation timeline	Target to be set by states in SAAP				Present Status/ Issues, If Any
				Oct., 2015 to Mar., 2016	April to Sept., 2016	Oct., 2016 to Mar., 2017	April to Sept., 2017	
		Pension & e-procurement,						
2	Constitution and professionalization of municipal cadre	1. Establishment of municipal cadre.	24 months				Yes	Partially accomplished & to be fully achieved within prescribed timeline.
		2. Cadre linked training.	24 months				Yes	
3	Augmenting double entry accounting	Appointment of internal auditor.	24 months				Yes	Partially accomplished & to be fully achieved within prescribed timeline.
4	Urban Planning and City level Plans	Make a State level policy to implement the parameters given in National Mission for Sustainable Habitat.	24 months				Yes	CM Jan Awas Yojna is under approval
5	Devolution of funds and	Implementation of SFC recommendations within	24 months				Yes	Accomplished

S. No	Type	Milestones	Implementation timeline	Target to be set by states in SAAP				Present Status/ Issues, If Any
				Oct., 2015 to Mar., 2016	April to Sept., 2016	Oct., 2016 to Mar., 2017	April to Sept., 2017	
	functions	timeline.						
6	Review of Building by-laws	State to formulate a policy and action plan for having a solar roof top in all buildings having an area greater than 500 square meters and all public buildings.	24 months				Yes	To be accomplished
		State to formulate a policy and action plan for having Rain water harvesting structures in all commercial, public buildings and new buildings on plots of 300 sq. meters and above.	24 months				Yes	Accomplished
7	Set-up financial intermediary at state level	Establish and operationalize financial intermediary- pool finance, access external funds, float municipal bonds.	24 months				Yes	To be Accomplished within prescribed timeline
9	Credit Rating	1.Complete the credit ratings of the ULBs	24 months				Yes	To be accomplished

S. No	Type	Milestones	Implementation timeline	Target to be set by states in SAAP				Present Status/ Issues, If Any
				Oct., 2015 to Mar., 2016	April to Sept., 2016	Oct., 2016 to Mar., 2017	April to Sept., 2017	
								within prescribed timeline
	Energy and Water audit	4. Give incentives for green buildings (e.g. rebate in property tax or charges connected to building permission/development charges)	24 months				Yes	To be accomplished within prescribed timeline

Table 5.3: SAAP - Reforms Type, Steps and Target for AMRUT Cities FY - 2017 - 18

S. No	Type	Milestones	Implementation timeline	Target to be set by States in SAAP						Present Status/ Issues, If Any
				Oct., 2015 to Mar., 2016	April to Sept., 2016	Oct., 2016 to Mar., 2017	April to Sept., 2017	Oct., 2017 to Mar., 2018	April to Sept., 2018	
1	E-Governance	Personnel Staff management and Project management.	36 months						Yes	E-procurement already accomplished. Work order issued for Smart Raj Project. To be accomplished in prescribed timeline.
2	Urban Planning and City level Plans	Establish Urban Development Authorities.	36 months						Yes	Accomplished in Jaipur, Jodhpur & Ajmer
3	Swachh Bharat Mission	1. Elimination of open defecation, 2. Waste Collection (100%),	36 months						Yes	To be accomplished within prescribed timeline

S. No	Type	Milestones	Implementation timeline	Target to be set by States in SAAP						Present Status/ Issues, If Any
				Oct., 2015 to Mar., 2016	April to Sept., 2016	Oct., 2016 to Mar., 2017	April to Sept., 2017	Oct., 2017 to Mar., 2018	April to Sept., 2018	
		3. Transportation of Waste (100%).								
		4. Scientific Disposal (100%).								
		5. The state will prepare a policy for Right - Sizing the number of municipal functionaries depending on, say, population of the ULB, generation of internal resources and expenditure on salaries								

Table 5.4: SAAP - Reforms Type, Steps and Target for AMRUT Cities FY - 2018 - 19

S. No	Type	Milestones	Implementation timeline	Target to be set by states in SAAP								Present Status/ Issues, If Any	
				Oct., 2015 to Mar., 2016	April to Sept., 2016	Oct., 2016 to Mar., 2017	April to Sept., 2017	Oct., 2017 to Mar., 2018	April to Sept., 2018	Oct., 2018 to Mar., 2019	April to Sept., 2019		
1	Urban Planning and City level Plans	Preparation of Master Plan using GIS.	48 months									Yes	Partially achieved

Annexure - I

PRINCIPLE OF PRIORITIZATION**Water Supply Projects
FY 2015-16**

S.No.	Name of the City	House hold level coverage of water supply connections in %	Per capita quantum of water supplied in lpcd	Project cost demanded by cities under AMRUT	Priority No of the project
1	GANGAPUR CITY	48	38	40.00	1
2	DHOLPUR	53.8	70	30.00	2
3	HINDONCITY	55	44	35.00	The project will be taken up after completion of ongoing work
4	BHARATPUR	59.35	90	30.00	The project will be taken up after completion of ongoing work
5	CHITTORGARH	61.3	85	40.00	3
6	KISHANGARH	65	70	20.00	The project will be taken up after completion of ongoing work

S.No.	Name of the City	House hold level coverage of water supply connections in %	Per capita quantum of water supplied in lpcd	Project cost demanded by cities under AMRUT	Priority No of the project
7	BARAN	65	76	50.00	4
8	ALWAR	67.5	106	50.00	5
9	JAIPUR	70.6	130	50.00	*
10	NAGOUR	71	100	50.00	7
11	SUJANGARH	71	65	25.00	6
12	TONK	72.45	80	0.00	Project converged with other schemes
13	BHILWARA	72.8	68	25.00	8
14	S.MADHOPUR	73	84	40.00	The project will be taken up after meeting the conditionality of water source.
15	BEAWAR	73.2	126	14.00	9

S.No.	Name of the City	House hold level coverage of water supply connections in %	Per capita quantum of water supplied in lpcd	Project cost demanded by cities under AMRUT	Priority No of the project
16	JHUNJHUNU	73.35	90	0.00	Project converged with other schemes
17	KOTA	73.66	140	25.00	*
18	BHIWADI	75	70	20.00	10
19	SHRIGANGANAGAR	75	110	0.00	*
20	PALI	80	115	0.00	Project converged with other schemes
21	JODHPUR	80	135	25.00	*
22	HANUMANGARH	80	60	10.00	The project will be taken up after completion of ongoing work
23	UDAIPUR	81.3	115	30.00	*
24	BUNDI	81.4	127	50.00	*

S.No.	Name of the City	House hold level coverage of water supply connections in %	Per capita quantum of water supplied in lpcd	Project cost demanded by cities under AMRUT	Priority No of the project
25	AJMER	82.1	110	30.00	*
26	BIKANER	83.3	120	30.00	*
27	SIKAR	87	120	40.00	*
28	CHURU	90	103**	45.00	*
Total For Entire Mission Period (Fy 2015-19)				804.00	
Total For Current Year (Fy 2015-16)				344.00	
<p>* Per capita water supply is more than 100 lpcd and household coverage is above 75%. The universal coverage in these will be managed by internal sources or other schemes going in these towns. Else these cities may be priotize under AMRUT in subsequent years</p>					
<p>**The quality of available water is poor having high TDS. Potable water availability is only 24lpcd.</p>					

Sewerage and Septage Management

FY 2015-16

S.No.	Name of City	Per capita quantum of water supplied in lpcd	Sewerage and Septage Management			
			Coverage of latrines	Coverage of Sewerage Network services	Project cost demanded by cities under AMRUT	Priority of the project
			Existing	Existing	in Cr.	
1	Kota	140	83	39	15.00	*
2	Jodhpur	135	93	77	108.00	*
3	Jaipur	130	77	82	110.00	*
4	BUNDI	127	79	10	60.00	The project will be taken up after completion of ongoing works
5	BEAWAR	126	99	0	110.00	1
6	BIKANER	120	93	75	10.00	**
7	SIKAR	120	91.64	0	110.00	2

S.No.	Name of City	Per capita quantum of water supplied in lpcd	Sewerage and Septage Management			
			Coverage of latrines	Coverage of Sewerage Network services	Project cost demanded by cities under AMRUT	Priority of the project
			Existing	Existing	in Cr.	
8	UDAIPUR	115	95.35	45	85.00	3
9	PALI	115	89.1	37	55.00	The project will be taken up after completion of ongoing works
10	SHRIGANGANAGAR	110	84	10	10.00	**
11	Ajmer	110	95	70	60.00	*
12	ALWAR	106	68.52	50	80.00	4
13	CHURU	103	73	42	80.00	The project will be taken up after completion of ongoing works
14	NAGAUR	100	85.22	50	60.00	5

S.No.	Name of City	Per capita quantum of water supplied in lpcd	Sewerage and Septage Management			
			Coverage of latrines	Coverage of Sewerage Network services	Project cost demanded by cities under AMRUT	Priority of the project
			Existing	Existing	in Cr.	
15	JHUNJHUNU	90	64.63	73	10.00	*
16	BHARATPUR	90	94.65	18	90.00	The project will be taken up after completion of ongoing works
17	CHITTORGARH	85	86	21	80.00	The project will be taken up after completion of ongoing works
18	S.MADHOPUR	84	73	35	10.00	The project will be considered after completion of ongoing schemes
19	TONK	80	60	0	10.00	The project will be considered after completion of

S.No.	Name of City	Per capita quantum of water supplied in lpcd	Sewerage and Septage Management			
			Coverage of latrines	Coverage of Sewerage Network services	Project cost demanded by cities under AMRUT	Priority of the project
			Existing	Existing	in Cr.	
						ongoing schemes
20	BARAN	76	82.28	0	85.00	After Augmentation of water supply
21	BHIWADI	70	75	23.41	110.00	6
22	KISHANGARH	70	92	14	100.00	The project will be taken up after completion of ongoing works
23	DHOLPUR	70	89	90	10.00	*
24	BHILWARA	68	84.61	0	10.00	The project will be considered after completion of ongoing schemes
25	SUJANGARH	65	91.64	0	100.00	After Augmentation of water supply

S.No.	Name of City	Per capita quantum of water supplied in lpcd	Sewerage and Septage Management			
			Coverage of latrines	Coverage of Sewerage Network services	Project cost demanded by cities under AMRUT	Priority of the project
			Existing	Existing	in Cr.	
26	HANUMANGARH	60	97.72	89	5.00	*
27	HINDONCITY	44	90.45	0	105.00	After Augmentation of water supply
28	GANGAPUR CITY	38	85	0	85.00	After Augmentation of water supply
Total for entire mission period (FY 2015-19)					1763.00	
Total for current year (FY 2015-16)					555.00	
* The project will be taken up after property connection of sewerage network existing/ongoing						
** The project will be taken up after property connection of sewerage network existing/ongoing and after completion of ongoing scheme.						



Submitted by:
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